

**Comparative Study of Electoral Systems (CSES)
Module 5: Design Report (Sample Design and Data Collection Report)**

September 14, 2016

Country: Albania

Date of Election: 25 June 2017

Prepared by: Albanian Election Study

Date of Preparation: September 2022

NOTES TO COLLABORATORS:

- Where brackets [] appear, answer by placing an “X” within the appropriate bracket or brackets.
- If more space is needed to answer any question, please lengthen the document as necessary.

Collaborator(s):

Collaborators are the contact persons for election studies that appear in the CSES dataset - they are not necessarily the parties who collected the data. These collaborators and their contact information will be listed on the CSES website.

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Data Collection Organization:

Organization that conducted the survey field work/data collection:

Organization: ASSA-Zeitgeist: Address: Blloku Kika 2 Komuna Parisit Tirana Albania

Funding Organization(s):

Organization(s) that funded the data collection:

Organization: OSFA-Albania Address: Rr. Qemal Stafa, Pallati 120/2 Tirana Albania E-Mail: info@osfa.al

Please indicate the date when the study is expected to be available at this archive:

Study Design

1. Timing of the study that the CSES Module was included in:

- Post-Election Study (with interviewing starting within 6 months after the election)
- Post-Election Study (with interviewing starting more than 6 months after the election)
- Pre-Election/Post-Election Panel Study
- Between Rounds

2a. Date Post-Election Interviewing Began:

January 20th 2018

2b. Date Post-Election Interviewing Ended:

March 20th 2018

3a. Mode of interviewing for the post-election survey in which the CSES Module appeared:
(If multiple modes were used, please mark all that apply.)

- In person, face-to-face - using a questionnaire on paper
- In person, face-to-face - using an electronic/computerized questionnaire
- Telephone
- Mail or self-completion supplement
- Internet

3b. Was there a mode change *within* interviews (e.g., selected self-completion elements within the questionnaire)?

- No
- Yes; please provide details:

The main fieldwork for the survey was conducted in person using CAPI. Some CSES questions were included in the self-completion mail back survey. Respondents had the option of completing this section of the survey either via the internet or a mail-back paper questionnaire. See documentation deposited with UKDS for complete information.

4a. Was the survey part of a panel study?

- Yes
- No

4b. If the survey was part of a panel study, please describe the design of the panel study, including the date at which interviewing for each prior wave began and ended:

4c. If the survey was entirely or partly conducted via the Internet, please indicate whether it was based on an access panel (i.e. respondents were selected from a group of pre-screened panelists):

- Yes
- No

4d. If the survey was based on an Internet access panel, please describe the access panel (company, population [does it include persons without initial access to the Internet and how are they interviewed], method of recruiting members, total size of access panel, method of selecting survey respondents from the panel):

n/a

Translation

Please provide copies of questionnaires in all languages used as part of the election study deposit. For questionnaires in a language other than English, please also provide a version of each translated back into English. Note: Questions are based on those developed for the ISSP.

5. Was the questionnaire translated?

-] Yes, translated by member(s) of research team
-] Yes, by translation bureau
-] Yes, by specially trained translator(s)
-] No, not translated

6. Please list all languages used for the fielded module:

Albanian

7a. If the questionnaire was translated, was the translated questionnaire assessed/checked or evaluated?

-] Yes, by group discussion
-] Yes, an expert checked it
-] Yes, by back translation
-] Other; please specify: _____
-] No
-] Not applicable

7b. If the questionnaire was translated, was the questionnaire pre-tested?

-] Yes
-] No
-] Not applicable

7c. If the questionnaire was translated, were there any questions which caused problems when translating?

-] Yes
-] No
-] Not applicable

7d. If the questionnaire was translated, please provide a list of all questions which caused problems when translating. For each question listed, describe what problems were encountered and how they were solved:

n/a

Sample Design and Sampling Procedures

8. Please describe the population that your sample is meant to be representative of:

The sample is representative of the population of 18+ living in Albania who were eligible to vote in the 2017 election.

Eligibility Requirements

9a. Must a person be a certain age to be interviewed?

Yes

No

If yes, what ages could be interviewed?

18

9b. Must a person be a citizen to be interviewed?

Yes

No

9c. Must a person be registered to vote to be interviewed?

Yes

No

9d. Please list any other interviewing requirements or filters used:

Only those eligible to vote in the 2017 election were interviewed. Those who are eligible to vote are Albanian citizens.

Sample Frame

10a. Were any regions of the country excluded from the sample frame?

- Yes
 No

If yes, what percent of the total eligible population did this exclude from the sample frame? Less than 0.25 %

If yes, please explain:

For practical reasons the northern islands were not included.

10b. Were institutionalized persons excluded from the sample?

- Yes
 No

If yes, what percent of the total eligible population did this exclude from the sample frame? ~2 %

If yes, please explain:

Only HH were selected. The access in most institutionalized premises its limit.

10c. Were military personnel excluded from the sample?

- Yes
 No [but see above]

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10d. If interviews were conducted by telephone, what is the estimated percentage of households without a phone? _____ %

Please explain:

10e. If interviews were conducted by telephone, were unlisted telephone numbers included in the population sampled?

Yes

No

If no, what percent of the total eligible population did this exclude from the sample frame? _____ %

10f. If interviews were conducted via the Internet, what is the estimated percentage of households without access to the Internet? _____ %

10g. If interviews were conducted via the Internet, were provisions taken to include members of the population without access to the Internet? And if so, which?

Yes

No

If "Yes", please explain:

If "No", what percent of the total eligible population did this exclude from the sample frame? _____ %

10h. Were other persons excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10i. Please estimate the total percentage of the eligible population excluded from the sample frame: ____~2____ %

Sample Selection Procedures

11. Please describe, in your own words, how the sample for the study was selected. If the survey is part of a panel study and/or based on an Internet access panel, please also describe the original sample, from the beginning of the study.

The sample involves the conduct of 1255 questionnaires/out of 2136 (gross contacted). The questionnaires are completed with citizens 18+ years old living in Albania. The sample selection is random covering entire Albania using as primary sampling units, voting centers. They are selected by R-program, 267 PSU distributed across regions and rural-urban location. The starting points in selected PSU-s were voting centers. In urban areas the selection step for HH was 1 out of 10. In rural areas the selection step for HH was 1 out of 5. In those rural areas where density was very low the selection step for HH was 1 out of 3. The person interviewed within HH was selected randomly, +18, last birthday rule. In case the person selected was not contacted in the first visit, two more extra visits were paid. In case of no contact even after the third visit, the person selected within HH its not replaced. For all the PSU-s selected 8 potential interviews were planned (8 interviews x 267 PSU = 2136 potential interviews/ 1255 completed).

12a. What were the primary sampling units?

Voting Centers

12b. How were the primary sampling units selected?

R – Program. 267 PSU-s selected from 5362 total number of voting centers.

12c. Were the primary sampling units randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

See 12b

13. Were there further stages of selection?

Yes

No

13a. If there were further stages of selection, what were the sampling units at each of the additional stages? **Steps for HH selection, Last birthday rule.**

13b. If there were further stages of selection, how were the sampling units selected at each of the additional stages?

13c. If there were further stages of selection, were units at each of these stages randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

See 13b

14a. How were individual respondents identified and selected in the final stage?

Last birthday rule

14b. Could more than one respondent be interviewed from a single household?

Yes

No

If yes, please explain:

15. Did the sample design include clustering at any stage?

Yes

No

16. Did the sample design include stratification?

Definition: Stratification involves the division of the population of interest according to certain characteristics (for instance: geographic, political, or demographic). Random selection then occurs within each of the groups that result.

- Yes
 No

If yes, please describe (please include the list of characteristics used for stratification, and in the case of multi-stage selection processes the stage[s] at which stratification occurred):

Geographic stratification, for details see answer to 12b and 13b

17. Was quota sampling used at any stage of selection?

- Yes
 No

If yes, please describe:

18. Was substitution of individuals permitted at any stage of the selection process or during fieldwork?

- Yes
 No

If yes, please describe:

19. Under what circumstances was a household designated non-sample? Please check all that apply:

- Non-residential sample point
 All members of household are ineligible
 Housing unit is vacant
 No answer at housing unit after _____ callbacks
 Other (Please explain):

20. Were non-sample replacement methods used?

- Yes
 No

Please describe:

21a. For surveys conducted by telephone, was the sample a random digit dial (RDD) sample?

Yes

No

21b. For surveys conducted by telephone, was the sample a listed sample?

Yes

No

21c. For surveys conducted by telephone, was the sample a dual frame sample?

Yes

No

If yes, what % list frame _____ and what % RDD _____

22. For surveys conducted by mail, was the sample a listed sample?

Yes

No

Please describe:

23. For surveys conducted on the Internet, did respondents self-select into the survey, at any stage?

Yes

No

Please explain:

Incentives

24a. Prior to the study, was a letter sent to the respondent?

- Yes
 No

(If yes, please provide a copy of the letter.)

24b. Prior to the study, was a payment sent to the respondent?

- Yes
 No

If yes, please describe (including amount of payment):

24c. Prior to the study, was a token gift sent to the respondent?

- Yes
 No

If yes, please describe:

24d. Did respondent receive an additional payment after their participation? (Do not include any payment made prior to the study.)

- Yes
 No

If yes, please describe (including amount of payment):

A gift voucher: £25 for 18-24s, £20 for addresses in London with respondents aged 25+ and £10 for addresses outside of London with respondents aged 25+

24e. Were any other incentives used?

- Yes
 No

If yes, please describe:

Interviewers

25. Please describe the interviewers (e.g., age, level of education, years of experience):

Interviewers were all graduated from university, mostly 25-35 years old. 3 field coordinators and 18 interviewers in total were engaged. Experienced and fully trained.

26. Please provide a description of interviewer training. If possible please differentiate between general interviewer training and study-specific components:

Interviewers received extensive study specific training. All interviewers were provided with written instructions which gave a detailed explanation of all aspects of the study. All interviewers were involved in questionnaire testing (75 interviews completed).

26a. Please provide a description of the content, structure and time used for general training of interviewers:

26b. Please provided a description of the content, structure and time used for training interviewers in the specifics of the study within which CSES was run:

Four rounds of training were realized. In each group there were 3-5 interviewers. Interviewers were trained on the organization of module 5 questionnaire, its content, main parts, introduction, use of tablets, GPS location, question conduct and filling of the answers.

Role playing among interviewers and questionnaire testing in urban and rural Tirana was applied.

Contacts

27a. What was the average number of contact attempts made per household, for the entire sample?

2136 potential interviews/ 1255 completed/ 3 efforts per selected person/ no replacement

27b. For households where contact was made, what was the average number of contact attempts prior to first contact?

Mean = 2.2

27c. During the field period, how many contacts were made with the household before declaring it a **non-sample**?

1,6

28d. During the field period, how many contacts were made with the household before declaring it a **non-interview**?

1

28e. During the field period, what were the maximum number of days over which a household was contacted?

3-5 days

28f. During the field period, did interviewers vary the time of day at which they re-contacted the household?

Yes

No

If yes, please describe:

Based on persons selected availability. Including weekends.

Refusal Conversion

29a. Were efforts made to persuade respondents who were reluctant to be interviewed?

Yes

No

Please describe:

Interviewers were given training on how to respond to reluctance on the doorstep, and given various response types to use to answer respondent objections to taking part.

29b. Were respondents who were reluctant to be interviewed sent a letter persuading them to take part?

Yes

No

(If yes, please provide a copy of the letter or letters.)

If yes, please describe:

29c. Was payment offered to respondents who were reluctant to take part?

Yes

No

If yes, how much?

29d. Were respondents who were reluctant to take part turned over to a more experienced interviewer?

Yes

No

29e. What was the maximum number of re-contacts used to persuade respondents to be interviewed?

3

29f. Were any other methods used to persuade respondents reluctant to be interviewed to take part?

Yes

No

Interview/Survey Verification

Definition: Interview/survey verification is the process of verifying that an interview was conducted and that the survey was administered to the correct respondent, for quality control purposes.

30. Was interview/survey verification used?

Yes

No

If yes, please describe the method(s) used:

In field by coordinators, and by phone.

If yes, please indicate the percent of completed surveys that were verified: **30 %**

Response Rate

Note: If multiple modes of interviewing were used for the post-election survey in which the CSES Module appeared, please repeat the following questions as appropriate for each of the modes used.

31. What was the response rate of the survey that the CSES Module appeared in? Please show your calculations. (If the CSES Module appeared in a panel study, please report the response rate of the first wave of the study, even if the CSES Module did not appear in that wave.)

32. Please provide the following statistics for the survey that the CSES Module appeared in. (If the CSES Module appeared in a panel study, please report the statistics for the first wave of the study, even if the CSES Module did not appear in that wave.)

	N
Total issued addresses	4866
Not eligible	
Out of scope properties	400
No eligible respondents in household i.e. not eligible to vote in the general election	170
Unknown eligibility, non-interview	
Refused before screening stage	744
Non contacts	388
Other unproductive before screening stage	32
<i>Total unknown eligibility, non-interview cases</i>	<i>1164</i>
<i>Total unknown eligibility, non-interview cases who are expected to have someone in the household eligible for the study (A)</i>	<i>1123</i>
Eligible, non-interview	
Refused	609
Non contacts	238
Other unproductive	319
<i>Total eligible, non-interview cases (B)</i>	<i>1166</i>
Full interviews (C)	1966
Total eligible (A+B+C)	4255
Main study response rate (C/(A+B+C))	46.20%
Issued re-contact sample (D)	249
Interviews from re-contact sample (E)	228
Response rate with re-contact sample included ((C+E)/(A+B+C+D))	48.71%
Completed CSES module cases (F)	984
CSES module response rate (F/(C+E))	44.85%

33. If the CSES Module appeared in a panel study, how many waves were conducted prior to the wave that included the CSES Module?

34. If the CSES Module appeared in a panel study, what was the total panel attrition between the first wave of the study and the wave that included the CSES Module? Please show your calculations.

35. If the CSES Module appeared in a panel study, please provide the number of completed interviews for the wave that included the CSES Module:

36. If the CSES Module appeared in a panel study, please provide the following statistics for panel attrition by age and education. In each cell, indicate the percent of all completed interviews in each category for the indicated wave.

Age	First wave of study	Wave that included CSES
18-25	%	%
26-40	%	%
41-64	%	%
65 and over	%	%

Education	First wave of study	Wave that included CSES
None	%	%
Incomplete primary	%	%
Primary completed	%	%
Incomplete secondary	%	%
Secondary completed	%	%
Post-Secondary Trade/Vocational	%	&
University incomplete	%	%
University degree	%	%

Post-Survey Adjustment Weights

37. Are weights necessary to make the sample representative of the population being studied?

Yes

No

If yes, please explain:

38. Are weights included in the data file?

Yes

No

39. If weights are included in the data file, please describe in detail how the weights were constructed:

See answers at 40a and 40b

40a. If weights are included in the data file, are the weights designed to compensate for disproportionate probability of selection?

Yes

No

If yes, please describe:

These weights need to be applied to correct for unequal selection probabilities; during the selection process this happened at the following points:

1. If a selected address on PAF contains a number of separate dwellings (typically flats) and the interviewer has to select one of the dwellings for interview
2. If a dwelling contained more than one household (a household is defined as people who share a living room or who have common catering for at least one meal a day) and one of these households has to be selected
3. If a selected household contains more than one eligible person and one person has to be randomly selected for interview

40b. If weights are included in the data file, are the weights designed to match known demographic characteristics of the population?

Yes

No

If yes, please describe:

40c. If weights are included in the data file, are the weights designed to correct for non-response?

Yes

No

If yes, please describe:

40d. If weights are included in the data file, are the weights designed to correct to the official election results?

Yes

No

If yes, please describe:

41. Comparison of Completed Interviews to Population (please provide as percentages of the total):

Characteristic	Completed Interviews		
	Population Estimates	Unweighted Distribution	Weighted Distribution
<u>Age</u>			
18-25	%	%	%
26-40	%	%	%
41-64	%	%	%
65 and over	%	%	%
<u>Education</u>			
None	%	%	%
Incomplete Primary	%	%	%
Primary Completed	%	%	%
Incomplete Secondary	%	%	%
Secondary Completed	%	%	%
Post-Secondary Trade/ Vocational	%	%	%
University Incomplete	%	%	%
University Degree	%	%	%
<u>Gender</u>			
Male	%	%	%
Female	%	%	%

42. Please indicate the source of the population estimates in the prior question. English language sources are especially helpful. Include website links or contact information if applicable.