

October 30, 2001

Comparative Study of Electoral Systems:
Macro-Level Questionnaire with Variable Labels,
And Other Variables Included in the CSES Macro-Level Component

PART I: DATA PERTINENT TO THE ELECTION AT WHICH THE MODULE WAS ADMINISTERED

These data are reported in CSMM0101.ZX QI.1. Variable number/name in the dataset that identifies the primary electoral district for each respondent. _____

QI.2. Names and party affiliation of cabinet-level ministers serving at the time of the dissolution of the most recent government.

Name of Cabinet Member

Name of the Office Held

Political Party

v10000a v10010b v10020c v10030d v10040e v10050f QI.3. Political Parties (active during the election at which the module was administered):
Year Party Founded

Political Party

Year Party founded

- A.
- B.
- C.
- D.
- E.
- F.

v10001a	QI.3. Political Parties (active during the election at which the module was administered):																			
v10011b	Ideological family																			
v10021c	<u>Political Party</u>	<u>Ideological Family</u>																		
v10031d	A.																			
v10041e	B.																			
v10051f	C.																			
	D.																			
	E.																			
	F.																			
NOTE: The following is a list of likely classifications. If however, the primary dimensions of party politics are not adequately captured by this list, please provide alternative classifications and an explanation of where parties are situated.																				
<table> <tbody> <tr> <td>Ecology Parties</td> <td>Liberal Parties</td> <td>Agrarian Parties</td> </tr> <tr> <td>Communist Parties</td> <td>Right Liberal Parties</td> <td>Ethnic Parties</td> </tr> <tr> <td>Socialist Parties</td> <td>Christian Democratic Parties</td> <td>Regional Parties</td> </tr> <tr> <td>Social Democratic Parties</td> <td>Other Parties</td> <td></td> </tr> <tr> <td>Conservative Parties</td> <td>Independents</td> <td></td> </tr> <tr> <td>Left Liberal Parties</td> <td>National Parties</td> <td></td> </tr> </tbody> </table>			Ecology Parties	Liberal Parties	Agrarian Parties	Communist Parties	Right Liberal Parties	Ethnic Parties	Socialist Parties	Christian Democratic Parties	Regional Parties	Social Democratic Parties	Other Parties		Conservative Parties	Independents		Left Liberal Parties	National Parties	
Ecology Parties	Liberal Parties	Agrarian Parties																		
Communist Parties	Right Liberal Parties	Ethnic Parties																		
Socialist Parties	Christian Democratic Parties	Regional Parties																		
Social Democratic Parties	Other Parties																			
Conservative Parties	Independents																			
Left Liberal Parties	National Parties																			
v10002a	QI.3. Political Parties (active during the election at which the module was administered):																			
v10012b	International party organization																			
v10022c	<u>Political Party</u>	<u>International Party Organization</u>																		
v10032d	A.																			
v10042e	B.																			
v10052f	C.																			
	D.																			
	E.																			
	F.																			
NOTE: The following is a list of likely international party organizations, but certainly is not intended to be exhaustive. Report international party organizations as appropriate.																				
<table> <tbody> <tr> <td>Socialist International</td> <td>Liberal International</td> </tr> <tr> <td>Asia-Pacific Socialist Organization</td> <td>Federation of European Liberal, Democrat, and Reform Parties</td> </tr> <tr> <td>Confederation of Socialist Parties of the European Community</td> <td></td> </tr> <tr> <td>Socialist Inter-African</td> <td></td> </tr> </tbody> </table>			Socialist International	Liberal International	Asia-Pacific Socialist Organization	Federation of European Liberal, Democrat, and Reform Parties	Confederation of Socialist Parties of the European Community		Socialist Inter-African											
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Socialist Inter-African																				

Christian Democratic International
European Christian Democratic Union
European People's Party

International Democrat Union
Caribbean Democrat Union
Pacific Democrat Union
European Democrat Union

The Greens

v10003a QI.4.a. Parties' position in left-right scale (in the expert judgment of the CSES Collaborator):

v10013b

v10023c

v10033d

v10043e

v10053f

<u>Political Party</u>	<u>LEFT</u>	0	1	2	3	4	5	6	7	8	9	<u>RIGHT</u>
A.												
B.		0	1	2	3	4	5	6	7	8	9	10
C.		0	1	2	3	4	5	6	7	8	9	10
D.		0	1	2	3	4	5	6	7	8	9	10
E.		0	1	2	3	4	5	6	7	8	9	10
F.		0	1	2	3	4	5	6	7	8	9	10

Appendix V. QI.4.b. If you have asked respondents to rank political parties on a dimension other than the left-right dimension, please also provide your own rankings on this other dimension.

v10063 QI.5. In your view, what are the five most salient factors that affected the outcome of the election (e.g. major scandals; economic events; the presence of an independent actor; specific issues)?

v10064

v10065

v10066

v10067

1.

2.

3.

4.

5.

v10060 QI.6.a. Were electoral alliances permitted during the election campaign?

v10061 QI.6.b. (If alliances were permitted) Did any electoral alliances form?

Appendix III. QI.6.c. (If alliances were permitted and alliances formed) List the party alliances that formed:

	<u>Political Party</u>	<u>Name of Alliance</u>	<u>Other Alliance Members</u>
A.			
B.			
C.			
D.			
E.			
F.			

NOTE: If alliances occurred not at the national level but at the district level, please provide a general summary of which parties were involved in alliances and what the nature of that alliance was.

Appendix II. QI.7. List presidential candidates or party leaders, as appropriate:

	<u>Political Party</u>	<u>Name of Presidential Candidate / Party Leader</u>
A.		
B.		
C.		
D.		
E.		
F.		

These data are reported in CSMM0101.ZX

QI.8. If the national team plans to collect aggregate election returns (or constituency-level returns) please include these returns with the study materials provided when the data are archived.

PART II: DATA ON ELECTORAL INSTITUTIONS

A. Questions about Electoral Districts

Note: In the following question, the first variable label applies to the lower house, and the second label, to the upper house.

- v10086** QII.A.1. How many segments are there in the electoral system?
v10098

Definition: In some electoral systems, electoral segments are geographically nested but not otherwise related for purposes of seat allocation. In Lithuania, for example, there are 71 single-member districts that operate under a majority runoff system, and also a single nationwide district that operates under proportional representation (the largest remainders method with the Hare quota). Neither votes nor seats from the single-member districts transfer to the nationwide district. As the two voting counting processes are entirely independent (with voters casting a ballot in each segment), the Lithuanian system has two segments.

Note, systems with multiple segments are different from systems with multiple tiers: In some systems, there is a corrective tier to compensate for disproportionality in seat allocation. As seats in these corrective tiers are allocated according to the 'original' vote totals, the number of tiers is independent of the number of segments.

Note: In the following questions, the labels apply to the lower house, first segment; lower house, second segment; upper house, first segment; and upper house, second segment, respectively.

- v10087** QII.A.2. How many primary electoral districts are there?
v10092

v10098 Definition: An electoral district is defined as a geographic area within which votes are counted and seats allocated. If a district cannot be partitioned into smaller districts within which votes are counted and seats allocated, it is called primary. If it can be partitioned into primary districts, and there is some transfer of votes and/or seats from the primary districts to the larger district, then the larger district is called secondary. If a district can be partitioned into secondary districts (again with some transfer of votes and/or seats), it is called tertiary.
v10103

- v10089** QII.A.3. For each primary electoral district, how many members are elected from in that district?
v10094

v10100
v10105

- v10090** QII.A.4. How many secondary electoral districts are there?
v10095

v10101 NOTE: See Definition, above.
v10106

- v10091** QII.A.5. How many tertiary electoral districts are there?
v10096

v10102 NOTE: See Definition, above.
v10107

B. Questions About Voting

Note: In the following questions, the labels apply to the lower house, first segment; lower house, second segment; upper house, first segment; and upper house, second segment, respectively.

v10109 QII.B.6. Exactly how are votes cast by voters?

v10111

v10113

v10115

v10109 QII.B.6.a. How many votes do they or can they cast?

v10111

v10113

v10115

v10110 QIIB.6.b. Do they vote for candidates, for lists, or for both?

v10112

v10114

v10116

Appendix VIII.

v10110 QII.B.7. Are the votes transferable?

v10112

v10114

v10116;

Appendix VIII.

Appendix VIII. QII.B.8. If more than one vote can be cast, can they be cumulated?

C. Questions About Converting Votes to Seats

Note: In the following questions, the labels apply to the lower house, first segment; lower house, second segment; upper house, first segment; and upper house, second segment, respectively.

v10117 QII.C.10. Exactly how are votes converted into seats?

v10119

v10121

v10123

v10118 QII.C.10.a. Are there legally mandated thresholds that a party must exceed before it is eligible to receive seats? If so what is the threshold?

v10120

v10122

v10124

v10117 QII.C.10.b. What electoral formula(e) are used?

v10119

v10121

v10123

v10110 QII.C.11. If there are lists are they closed open or flexible?

v10112

v10114

v10116;

Appendix IX.

Definitions: A list is closed if the seats that are awarded to that list are always occupied by the candidates in order of their appearance on the list (i.e., if the list gets x seats then necessarily the top x names on the list get the seats). A list is open if the question of which candidates on the list get the seats that are awarded to the list is determined solely by the votes that each candidate receives. A list is flexible if it is neither closed nor open.

D. Questions about the Possibility of Electoral Alliances

v10060 QII.D.12. What are the possibilities of alliance in the system?

v10125 QII.D.12.a. Can parties run joint lists?

Definition: A joint list refers to one on which candidates of different parties run together.

v10127 QII.D.12.b. Is there apparentement or linking of lists?

Definition: Apparentement refers to a legal agreement between two or more lists to pool their votes for the purposes of an initial seat allocation, with seats initially allocated to the alliance then reallocated to the lists in the alliance.

v10129 QII.D.12.c. Can candidates run with the endorsement of more than one party?

v10131 QII.D.12.d. Do parties withdraw their lists or candidates in some constituencies urging their supporters there to vote for an ally's list or candidate?

Appendix X. QII.D.12.e. Other alliances?

- v10126 QII.D.13. If joint lists are possible are they subject to different regulations than single-party lists? For example higher thresholds different numbers of candidates that may appear on the list etc.
- v10128 QII.14. If apparentement is possible what lists can participate in such agreements? Lists of the same party in the same constituency? Lists of the same party from different constituencies? Lists of different parties in the same constituency?
- v10130 QII.D.15. If candidates can run with the endorsement of more than one party is this reflected on the ballot?

PART III: DATA ON REGIME TYPE

Note: In some countries there may be a discrepancy between the de jure (or legal) situation and the de facto (or practical) situation. For example, in Great Britain the Queen still possesses a legal right to veto legislation, but this right has not been exercised since 1707. In the case of such obviously obsolete powers, please answer according to the de facto situation. Otherwise, describe the de jure situation.

A. Questions regarding the Head of State.

v10074 QIII.A.1. Who is the Head of State?
Appendix VI.

v10075 QIII.A.2. How is the head of state selected?
Appendix VI.

v10076 QIII.A.2.a. If the head of state is selected through direct election by what process?
Appendix VI.

v10077 QIII.A.2.a.i. If the head of state is selected through a run-off system, what is the threshold for first-round victory?

v10078 QIII.A.2.a.ii. If the head of state is selected through a run-off system, what is the threshold to advance to second round?

v10079 QIII.A.2.a.iii. If the head of state is selected through a run-off system, what is the threshold for victory in second round?

v10082 QIII.A.2.b. If the head of state is selected by indirect election, what is the process?
Appendix VI.

Appendix VI. QIII.A.2.b.i. If the head of state is selected by electoral college, how are electors chosen? Does the electoral college deliberate? What is the voting procedure used by the electoral college?

Appendix VI. QIII.A.2.b.ii. If the head of state is selected by the legislature, by which chamber(s) of the legislature? What is the voting procedure used?

v10132 QIII.A.3.a. Does the Head of State have the following powers: Introduce legislation?

v10133 QIII.A.3.b. Does the Head of State have the following powers: Require expedited action on
Appendix XI. specific legislation? If yes what is the default if the legislature takes no action?

v10134 QIII.A.3.c. Does the Head of State have the following powers: Package veto? If yes what is the
Appendix XI. requirement to override the veto?

Definition: A Head of State possesses a package veto when he or she can veto the entire piece of legislation submitted by the legislature, but cannot veto some parts and accept others.

v10135 QIII.A.3.d. Does the Head of State have the following powers: Partial veto? If yes, what is the
Appendix XI. requirement to override the partial veto?

Definition: A Head of State possesses a partial veto when he or she can target specific clauses of a piece of legislation for veto, while promulgating the rest. In the U.S., such vetoes are sometimes called line item vetoes.

v10136 QIII.A.3.e. Does the Head of State have the following powers: Legislate by decree? If yes, does
Appendix XI. this require that the legislature must first specifically delegate decree authority to the head of state by statute? If yes are there restrictions on the policy areas in which the head of state can legislate by decree? If yes are there other restrictions on the head of state's authority to legislate by decree?

v10137 QIII.A.3.f. Does the Head of State have the following powers: Emergency powers? If yes what
Appendix XI. actions can the head of state take under emergency authority? If yes under what conditions can the head of state invoke emergency authority? If yes what restrictions are there on the head of state's authority to invoke and exercise emergency authority?

v10139 QIII.A.3.g. Does the Head of State have the following powers: Negotiate treaties and
Appendix XI. international agreements? If yes what other requirements are there for approval of treaties and international agreements negotiated by the head of state?

v10140 QIII.A.3.h. Does the Head of State have the following powers: Is the Head of State also the commander of the armed forces?

v10141 QIII.A.3.h.i. Does the Head of State control promotions of high-ranking officers?

v10142 QIII.A.3.h.ii. Can the Head of State dismiss or demote high-ranking officers?

v10143 QIII.A.3.h.iii. Can the Head of State mobilize and demobilize troops?

v10144 QIII.A.3.i. Can the Head of State introduce referenda? If yes under what conditions?
Appendix XI.

v10145 QIII.A.3.j. Can the Head of State refer legislation to the judicial branch for review of constitutionality?

v10146 QIII.A.3.k. Can the Head of State convene special legislative sessions? If yes is this the head of state's power exclusively or can any other(s) do this as well?
Appendix XI.

B. Questions about the Head of Government.

v10083 QIII.B.1. Who is the Head of Government?
Appendix VII.

v10084 QIII.B.2. If the Head of Government is not the Head of State how is the prime minister selected?
Appendix VII.

v10147 QIII.B.3. What authorities does the Head of Government have over the composition of the cabinet?
Names ministers and assigns portfolios alone?
Nominates ministers for approval by the president?

Reviews and approves ministerial nominations made by the president?
Dismisses ministers and reassigned portfolios at own discretion?
Other (Explain)?

- v10148 QIII.B.4.a. What authorities does the Head of Government have over the policy making process? Does the Head of Government chair cabinet meetings?
- v10149 QIII.B.4.b. What authorities does the Head of Government have over the policy making process? Does the Head of Government determine schedule of issues to be considered by the legislature?
- v10150 QIII.B.4.c. What authorities does the Head of Government have over the policy making process? Does the Head of Government determine which alternatives will be voted on by the legislature and in which order?
- v10151 QIII.B.4.d. What authorities does the Head of Government have over the policy making process? Does the Head of Government refer legislative proposals to party or legislative committees?
- v10152 QIII.B.4.e. What authorities does the Head of Government have over the policy making process? Does the Head of Government call votes of confidence in government?
- v10153 QIII.B.5. By what method(s) can cabinet members or the entire cabinet be dismissed?
- v10154 QIII.B.6. Can the legislature be dissolved prior to regularly scheduled elections?
- v10155 QIII.B.7. If yes are there restrictions on when and how the legislature can be dissolved?

PART IV: OTHER ITEMS INCLUDED IN THE MACRO-LEVEL COMPONENT:

v10004a Parties A-F: % popular vote in (lower house, first segment) legislature elections

v10014b

v10024c

v10034d

v10044e

v10054f

v10005a Parties A-F: % total seats won in (lower house) elections

v10015b

v10025c

v10035d

v10045e

v10055f

v10006a Parties A-F: % popular vote in (upper house, first segment) legislature elections

v10016b

v10026c

v10036d

v10046e

v10056f

v10007a Parties A-F: % total seats won in (upper house) elections

v10017b

v10027c

v10037d

v10047e

v10057f

v10008a Parties A-F: % popular vote in Head of State election

v10018b

v10028c

v10038d

v10048e

v10058f

v10080 Years of Non-Concurrent Head of State elections

v10081

V100085 Number of Elected Legislative Chambers

v10088 Lower (Upper) House- First (Second) Segment - Number of Seats

v10093

v10099

v10104

v10108 Compulsory Voting

V10138 Head of State- Power to Postpone Scheduled Elections or Extend Presidential/Legislative Terms Indefinitely