

## Comparative Study of Electoral Systems (CSES) Module 5: Design Report (Sample Design and Data Collection Report)

September 14, 2016

Country: Montenegro  
Date of Election: October, 16<sup>th</sup> 2016  
  
Prepared by: Olivera Komar  
Date of Preparation: February 1<sup>st</sup> 2017

### NOTES TO COLLABORATORS:

- Where brackets [ ] appear, answer by placing an “X” within the appropriate bracket or brackets.
- If more space is needed to answer any question, please lengthen the document as necessary.

### Collaborator(s):

Collaborators are the contact persons for election studies that appear in the CSES dataset - they are not necessarily the parties who collected the data. These collaborators and their contact information will be listed on the CSES website.

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**Data Collection Organization:**

Organization that conducted the survey field work/data collection:

Organization: De Facto Consultancy  
Address: 8. marta br. 55  
  
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**Funding Organization(s):**

Organization(s) that funded the data collection:

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**Archiving Organization**

If appropriate, please indicate the primary location where the full, original election study dataset (not just the CSES portion) will be archived:

Organization: De Facto Consultancy  
Address: 8. marta br. 55  
  
Telephone: +382 67 226 359  
Fax:  
E-Mail: office@defacto.me  
Website: <http://defacto.me/en/> and <http://mnes.defacto.me/>

Please indicate the date when the study is expected to be available at this archive:  
February, 15<sup>th</sup> 2017

**Study Design**

1. Timing of the study that the CSES Module was included in:

- Post-Election Study (with interviewing starting within 6 months after the election)
- Post-Election Study (with interviewing starting more than 6 months after the election)
- Pre-Election/Post-Election Panel Study
- Between Rounds

2a. Date Post-Election Interviewing Began:

2016-12-08

2b. Date Post-Election Interviewing Ended:

2017-01-16

3a. Mode of interviewing for the post-election survey in which the CSES Module appeared:  
(If multiple modes were used, please mark all that apply.)

- In person, face-to-face - using a questionnaire on paper
- In person, face-to-face - using an electronic/computerized questionnaire
- Telephone
- Mail or self-completion supplement
- Internet

3b. Was there a mode change *within* interviews (e.g., selected self-completion elements within the questionnaire)?

- No
- Yes; please provide details:

4a. Was the survey part of a panel study?

- Yes
- No

4b. If the survey was part of a panel study, please describe the design of the panel study, including the date at which interviewing for each prior wave began and ended:

4c. If the survey was entirely or partly conducted via the Internet, please indicate whether it was based on an access panel (i.e. respondents were selected from a group of pre-screened panelists):

- Yes
- No

4d. If the survey was based on an Internet access panel, please describe the access panel (company, population [does it include persons without initial access to the Internet and how are they interviewed], method of recruiting members, total size of access panel, method of selecting survey respondents from the panel):

**Translation**

Please provide copies of questionnaires in all languages used as part of the election study deposit. For questionnaires in a language other than English, please also provide a version of each translated back into English. Note: Questions are based on those developed for the ISSP.

5. Was the questionnaire translated?

- Yes, translated by member(s) of research team
- Yes, by translation bureau
- Yes, by specially trained translator(s)
- No, not translated

6. Please list all languages used for the fielded module:

Montenegrin

7a. If the questionnaire was translated, was the translated questionnaire assessed/checked or evaluated?

- Yes, by group discussion
- Yes, an expert checked it
- Yes, by back translation
- Other; please specify: \_\_\_\_\_
- No
- Not applicable

7b. If the questionnaire was translated, was the questionnaire pre-tested?

- Yes
- No
- Not applicable

7c. If the questionnaire was translated, were there any questions which caused problems when translating?

- Yes
- No
- Not applicable

7d. If the questionnaire was translated, please provide a list of all questions which caused problems when translating. For each question listed, describe what problems were encountered and how they were solved:

Q06a. >>> NATIONAL IDENTITY: TO HAVE BEEN BORN IN COUNTRY

NOTES: Examples of text to substitute in for "truly [NATIONALITY]" are "truly British" (for Great Britain) and "a true American" (for the United States).

TEXT: Now changing the topic...

Some people say that the following things are important for being truly [NATIONALITY]. Others say they are not important.

How important do you think the following is for being truly [NATIONALITY]... very important, fairly important, not very important, or not important at all?

To have been born in [COUNTRY].

.....

1. VERY IMPORTANT
2. FAIRLY IMPORTANT
3. NOT VERY IMPORTANT
4. NOT IMPORTANT AT ALL
  
7. VOLUNTEERED: REFUSED
8. VOLUNTEERED: DON'T KNOW
  
9. MISSING

Q06b. >>> NATIONAL IDENTITY: ANCESTRY

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NOTES: The following alternative phrase can be used in young countries (such as the United States) where it is thought the question may be misunderstood by respondents:

"For your grandparents to have been born in [COUNTRY]."

Again, though, please only use the above phrase in younger countries where it is thought respondents might otherwise be confused by the below, preferred, wording.

.....

TEXT: (How important do you think the following is for being truly [NATIONALITY]... very important, fairly important, not very important, or not important at all?)

To have [NATIONALITY] ancestry.

.....

HELP: At their discretion, the interviewer may use the optional phrase (the phrase which is in parentheses) if they perceive it would be helpful to the respondent in remembering the possible answer choices.

.....

1. VERY IMPORTANT
2. FAIRLY IMPORTANT
3. NOT VERY IMPORTANT
4. NOT IMPORTANT AT ALL
  
7. VOLUNTEERED: REFUSED
8. VOLUNTEERED: DON'T KNOW
  
9. MISSING

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Q06c. >>> NATIONAL IDENTITY: TO BE ABLE TO SPEAK COUNTRY LANGUAGES  
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NOTES: For "COUNTRY NATIONAL LANGUAGES" please substitute the official national language, or languages, of the country. If two or more languages are recognized nationwide, all should be included in the question. If there is no official national language or languages, please use the primary language(s) in use in the country. However, if there is one national lingua franca (for instance, Spanish in Spain, Russian in Russia) just give this language.

For instance, in Switzerland one would use "To be able to speak German, French, Italian, or Romansh." By contrast, in Sweden where there is only one official national language, one would use "To be able to speak Swedish."  
.....

TEXT: (How important do you think the following is for being truly [NATIONALITY]... very important, fairly important, not very important, or not important at all?)

To be able to speak [COUNTRY NATIONAL LANGUAGES].  
.....

HELP: At their discretion, the interviewer may use the optional phrase (the phrase which is in parentheses) if they perceive it would be helpful to the respondent in remembering the possible answer choices.  
.....

1. VERY IMPORTANT
2. FAIRLY IMPORTANT
3. NOT VERY IMPORTANT
4. NOT IMPORTANT AT ALL
  
7. VOLUNTEERED: REFUSED
8. VOLUNTEERED: DON'T KNOW
  
9. MISSING

-----  
Q06d. >>> NATIONAL IDENTITY: TO FOLLOW CUSTOMS AND TRADITIONS COUNTRY  
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TEXT: (How important do you think the following is for being truly [NATIONALITY]... very important, fairly important, not very important, or not important at all?)

To follow [COUNTRY]'s customs and traditions.  
.....

HELP: At their discretion, the interviewer may use the optional phrase (the phrase which is in parentheses) if they perceive it

would be helpful to the respondent in remembering the possible answer choices.

.....

1. VERY IMPORTANT
2. FAIRLY IMPORTANT
3. NOT VERY IMPORTANT
4. NOT IMPORTANT AT ALL
  
7. VOLUNTEERED: REFUSED
8. VOLUNTEERED: DON'T KNOW
  
9. MISSING

The problem was that the main political cleavage in Montenegro is “pro Montenegrin” vs. “pro-Serbian”. If you ask what is important for being truly Montenegrin you are alienating at least 30% of population who doesn’t feel as Montenegrin. The problem is that this is not ethnical but political question. We have asked the question anyway, but the results might not describe what was intended.

### **Sample Design and Sampling Procedures**

8. Please describe the population that your sample is meant to be representative of:

Montenegro is a former Yugoslav republic that regained its independence in May 2006 and became 192<sup>nd</sup> member of United Nations. The Referendum for the purposes of reaching this decision was organized according to the rules mediated by international end EU community. In total 419 240 voters participated (86.5%) out of which 230 661 was in favor of independence (55.5%).

Its current Constitution was passed on October 19<sup>th</sup> 2007.

According to the latest census (2011), it has 620 029 inhabitants. The average Montenegrin is 37 years old. Average woman is 38 and man 36 years old. Average inhabitant of urban areas is 37 and rural 38 years old. Adult population represents 76.5% of the population.

There are 306 236 men (49.4%) and 313 793 women (50.6%) living in the country. The population growth rate is estimated to be 2.21. According to the latest information provided by National statistical office Monstat (2004), at birth average Montenegrin man is expected to live 71 and woman 76 years.

The largest population groups are Montenegrins (44.9%), Serbs (28.7%), Bosniaks (8.6%), Albanians (4.9%) and Muslims (3.3%).

### **Eligibility Requirements**

9a. Must a person be a certain age to be interviewed?

Yes

No

If yes, what ages could be interviewed?

18 years old and older

9b. Must a person be a citizen to be interviewed?

Yes

No

9c. Must a person be registered to vote to be interviewed?

Yes

No

9d. Please list any other interviewing requirements or filters used:

## Sample Frame

10a. Were any regions of the country excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? \_\_\_\_\_ %

If yes, please explain:

10b. Were institutionalized persons excluded from the sample?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? Less than 1%

If yes, please explain: People in the prisons or hospitals.

10c. Were military personnel excluded from the sample?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? \_\_\_\_\_ %

If yes, please explain:

10d. If interviews were conducted by telephone, what is the estimated percentage of households without a phone? \_\_\_\_\_ %

Please explain:

10e. If interviews were conducted by telephone, were unlisted telephone numbers included in the population sampled?

Yes

No

If no, what percent of the total eligible population did this exclude from the sample frame? \_\_\_\_\_ %

10f. If interviews were conducted via the Internet, what is the estimated percentage of households without access to the Internet? \_\_\_\_\_ %

10g. If interviews were conducted via the Internet, were provisions taken to include members of the population without access to the Internet? And if so, which?

Yes

No

If "Yes", please explain:

If "No", what percent of the total eligible population did this exclude from the sample frame? \_\_\_\_\_ %

10h. Were other persons excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? \_\_\_\_\_ %

If yes, please explain:

10i. Please estimate the total percentage of the eligible population excluded from the sample frame: Less than 1%

## Sample Selection Procedures

11. Please describe, in your own words, how the sample for the study was selected. If the survey is part of a panel study and/or based on an Internet access panel, please also describe the original sample, from the beginning of the study.

Sample procedure for the research has been done in accordance to multistage random procedure. There are three stages with this regard. First, it is regional division. Inside each of this regional strata we divided polling stations (the smallest municipal unit which is at the same time a unit for voting) into three groups. Third it is random selection of polling stations inside the second strata.

12a. What were the primary sampling units?

Region

12b. How were the primary sampling units selected?

Dividing the whole population into three geographical regions: south, center and north.

12c. Were the primary sampling units randomly selected?

- Yes  
 No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

Division of the whole population on three regions is first step due to the differences in population structure, historical and economic reasons

13. Were there further stages of selection?

- Yes  
 No

13a. If there were further stages of selection, what were the sampling units at each of the additional stages?

- 1) We divided the whole population into three regions
- 2) In each region we divided polling stations in: small, middle size and big polling stations.
- 3) In each of the second-strata groups we randomly choose polling stations
- 4) Step-and-go procedure to select household in the polling stations
- 5) Last birthday as a criterion to choose respondent in household

13b. If there were further stages of selection, how were the sampling units selected at each of the additional stages?

polling station

13c. If there were further stages of selection, were units at each of these stages randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

Random choice of number of polling station in the frame of each region

14a. How were individual respondents identified and selected in the final stage?

Step-and-go procedure to select households, last birthday as a criterion to select respondent in the household

14b. Could more than one respondent be interviewed from a single household?

Yes

No

If yes, please explain:

15. Did the sample design include clustering at any stage?

Yes

No

If yes, please describe:

16. Did the sample design include stratification?

Definition: Stratification involves the division of the population of interest according to certain characteristics (for instance: geographic, political, or demographic). Random selection then occurs within each of the groups that result.

Yes

No

If yes, please describe (please include the list of characteristics used for stratification, and in the case of multi-stage selection processes the stage[s] at which stratification occurred):

	Proportion	Geography	Nationality	Economy	History	Population
North	32,3%	Mountain area	Mixed, Serbian dominant	Weak	Traditionally inclining to Serbia and Bosnia	Old
Center	43,9%	Valleys	Mixed, Montenegrin dominant	Medium	Socialist heritage	Young
South	23,8%	Seaside	Mixed/mixed	Strong	Mediterranean culture with Austro-Hungarian heritage	Medium

17. Was quota sampling used at any stage of selection?

Yes

No

If yes, please describe:

18. Was substitution of individuals permitted at any stage of the selection process or during fieldwork?

Yes

No

If yes, please describe:

19. Under what circumstances was a household designated non-sample? Please check all that apply:

Non-residential sample point

All members of household are ineligible

Housing unit is vacant

No answer at housing unit after 3 callbacks

Other (Please explain):

20. Were non-sample replacement methods used?

Yes

No

Please describe:

21a. For surveys conducted by telephone, was the sample a random digit dial (RDD) sample?

Yes

No

21b. For surveys conducted by telephone, was the sample a listed sample?

Yes

No

21c. For surveys conducted by telephone, was the sample a dual frame sample?

Yes

No

If yes, what % list frame \_\_\_\_\_ and what % RDD \_\_\_\_\_

22. For surveys conducted by mail, was the sample a listed sample?

Yes

No

Please describe:

23. For surveys conducted on the Internet, did respondents self-select into the survey, at any stage?

Yes

No

Please explain:

## Incentives

24a. Prior to the study, was a letter sent to the respondent?

Yes

No

(If yes, please provide a copy of the letter.)

24b. Prior to the study, was a payment sent to the respondent?

Yes

No

If yes, please describe (including amount of payment):

24c. Prior to the study, was a token gift sent to the respondent?

Yes

No

If yes, please describe:

24d. Did respondent receive an additional payment after their participation? (Do not include any payment made prior to the study.)

Yes

No

If yes, please describe (including amount of payment):

24e. Were any other incentives used?

Yes

No

If yes, please describe:

## Interviewers

25. Please describe the interviewers (e.g., age, level of education, years of experience):

Their age is between 25 and 45. They are professional interviewers that conduct surveys for DeFacto on regular basis for more than three years now. Most of them have been working as interviewer for over 5 years within other agencies. Most of them have university background, though not everyone.

26. Please provide a description of interviewer training. If possible please differentiate between general interviewer training and study-specific components:

We had individual meetings with each interviewer and described the procedure and questionnaire. Since most of them are professional interviewers that work for De Facto on daily basis most of the contents were known to them. They were especially explained the purpose of the survey.

26a. Please provide a description of the content, structure and time used for general training of interviewers:

### Introduction

Specific sample that she is supposed to cover

Interviewee selection procedure

Refusal procedure

Technical reporting

Using the CAPI platform

Questionnaire explanation

26b. Please provided a description of the content, structure and time used for training interviewers in the specifics of the study within which CSES was run:

It was done together and it took approximately hour and half.

## Contacts

27a. What was the average number of contact attempts made per household, for the entire sample?

1

27b. For households where contact was made, what was the average number of contact attempts prior to first contact?

1

27c. During the field period, how many contacts were made with the household before declaring it a **non-sample**?

1

28d. During the field period, how many contacts were made with the household before declaring it a **non-interview**?

3

28e. During the field period, what were the maximum number of days over which a household was contacted?

3

28f. During the field period, did interviewers vary the time of day at which they re-contacted the household?

Yes

No

If yes, please describe:

### Refusal Conversion

29a. Were efforts made to persuade respondents who were reluctant to be interviewed?

Yes

No

Please describe:

The interviewer would try to explain the purpose of the study and the fact that the results are not going to be used for political reasons. She would offer to come at more convenient time.

29b. Were respondents who were reluctant to be interviewed sent a letter persuading them to take part?

Yes

No

(If yes, please provide a copy of the letter or letters.)

If yes, please describe:

29c. Was payment offered to respondents who were reluctant to take part?

Yes

No

If yes, how much?

29d. Were respondents who were reluctant to take part turned over to a more experienced interviewer?

Yes

No

29e. What was the maximum number of re-contacts used to persuade respondents to be interviewed?

Three

29f. Were any other methods used to persuade respondents reluctant to be interviewed to take part?

Yes

No

If yes, please describe:

### **Interview/Survey Verification**

Definition: Interview/survey verification is the process of verifying that an interview was conducted and that the survey was administered to the correct respondent, for quality control purposes.

30. Was interview/survey verification used?

Yes

No

If yes, please describe the method(s) used:

Just logical control. Since the questions were political we did not ask people for phone numbers.

If yes, please indicate the percent of completed surveys that were verified: \_\_\_\_\_ %

## Response Rate

Note: If multiple modes of interviewing were used for the post-election survey in which the CSES Module appeared, please repeat the following questions as appropriate for each of the modes used.

31. What was the response rate of the survey that the CSES Module appeared in? Please show your calculations. (If the CSES Module appeared in a panel study, please report the response rate of the first wave of the study, even if the CSES Module did not appear in that wave.)

32. Please provide the following statistics for the survey that the CSES Module appeared in. (If the CSES Module appeared in a panel study, please report the statistics for the first wave of the study, even if the CSES Module did not appear in that wave.)

A. Total number of households in sample:	1395
B. Number of valid households:	1338
C. Number of invalid (non-sample) households:	57
D. Number of households of unknown validity:	0
E. Number of completed interviews:	1213
F. Number of partial interviews:	0
G. Number of refusals and break-offs:	125
H. Number non-contact (never contacted):	/
I. Other non-response:	/

The sum of B+C+D should equal the value of A. If not, please describe why:

If statistic D (number of households of unknown validity) has a value greater than zero (0), please estimate the proportion of households of unknown validity that are valid:

The sum of E+F+G+H+I should equal the value of B. If not, please describe why:

If statistic I has a value greater than zero (0), please describe what cases fall into this category:

33. If the CSES Module appeared in a panel study, how many waves were conducted prior to the wave that included the CSES Module?

34. If the CSES Module appeared in a panel study, what was the total panel attrition between the first wave of the study and the wave that included the CSES Module? Please show your calculations.

35. If the CSES Module appeared in a panel study, please provide the number of completed interviews for the wave that included the CSES Module:

36. If the CSES Module appeared in a panel study, please provide the following statistics for panel attrition by age and education. In each cell, indicate the percent of all completed interviews in each category for the indicated wave.

<b>Age</b>	First wave of study	Wave that included CSES
18-25	%	%
26-40	%	%
41-64	%	%
65 and over	%	%

<b>Education</b>	First wave of study	Wave that included CSES
None	%	%
Incomplete primary	%	%
Primary completed	%	%
Incomplete secondary	%	%
Secondary completed	%	%
Post-Secondary Trade/Vocational	%	&
University incomplete	%	%
University degree	%	%

### Post-Survey Adjustment Weights

37. Are weights necessary to make the sample representative of the populated being studied?

Yes

No

If yes, please explain:

38. Are weights included in the data file?

Yes

No

39. If weights are included in the data file, please describe in detail how the weights were constructed:

We have weighted the sample on three demographical characteristics: gender, age, nationality.

All weights are created based on national census statistics.

Nationality weights were created for 4 major national groups in Montenegro:

Montenegrins, Serbs, Albanians and Bosniaks-Muslims (Bosniaks and Muslims were merged in one category for this weight).

All respondents were divided into six groups based on gender and age. We had three groups for female: 18-34 years old; 35-54 years old; and 55+ years old. The same was done for men.

Final weight was created as:

$WEIGHT = Nationality\_weight * GenderAge\_weight$

40a. If weights are included in the data file, are the weights designed to compensate for disproportionate probability of selection?

Yes

No

If yes, please describe:

40b. If weights are included in the data file, are the weights designed to match known demographic characteristics of the population?

Yes

No

If yes, please describe:

Weights are designed to match demographic characteristics of population: gender, age, nationality proportion in total population.

40c. If weights are included in the data file, are the weights designed to correct for non-response?

Yes

No

If yes, please describe:

40d. If weights are included in the data file, are the weights designed to correct to the official election results?

- Yes  
 No

If yes, please describe:

41. Comparison of Completed Interviews to Population (please provide as percentages of the total):

Characteristic	Population Estimates	Completed Interviews	
		Unweighted Distribution	Weighted Distribution
<u>Age</u>			
18-25	14,7%	17.0%	15,8%
26-40	27,6%	9.0%	9.0%
41-64	41,0%	53.8%	53.9%
65 and over	16,7%	20.2%	21.3%
<u>Education<sup>1</sup></u>			
None	2.3%	1.3%	1.9%
Incomplete Primary	7.3%	%	%
Primary Completed	20.8%	20.6%	21.7%
Incomplete Secondary	%	%	%
Secondary Completed	51.9%	48.4%	48.5%
Post-Secondary Trade/ Vocational	5.2%	6.1%	6.1%
University Incomplete	%	%	%
University Degree	12.5%	23.5%	21.8%
<u>Gender</u>			
Male	49,4%	56,4%	48,9%
Female	50,6%	43,6%	51,1%

42. Please indicate the source of the population estimates in the prior question. English language sources are especially helpful. Include website links or contact information if applicable.

<sup>1</sup> Note: based on a original CSES questionnaire we can not know the percentage of those whose education is incomplete primary, incomplete secondary, or University incomplete. Also, Montenegrin official census do not provide information about incomplete secondary or University incomplete education of Montenegrin citizens.

Official census conducted in 2011 by National Statistical Office – Monstat -  
<https://www.monstat.org/eng/index.php>