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Comparative Study of Electoral Systems Module 2: Macro Report

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Country (Date of Election): Taiwan (the Republic of China), December 1, 2001
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NOTE TO THE COLLABORATORS: The information provided in this report contributes to an important part of the CSES project- your efforts in providing these data are greatly appreciated! Any supplementary documents that you can provide (i.e. electoral legislation, party manifestos, electoral commission reports, media reports) are also appreciated, and will be made available with this report to the CSES community on the CSES web page.

Part I: Data Pertinent to the Election at which the Module was Administered

1. Report the number of portfolios (cabinet posts) held by each party in cabinet, *prior* to the most recent election. (If one party holds all cabinet posts, simply write "all".)

<u>Name of Political Party</u>	<u>Number of Portfolios</u>
Democratic Progressive Party (DPP)	All

1a. What was the size of the cabinet before the election?...21 (including premier and vice premier)

2. Report the number of portfolios (cabinet posts) held by each party in cabinet, *after* the most recent election. (If one party holds all cabinet posts, simply write "all").

<u>Name of Political Party</u>	<u>Number of Portfolios</u>
Democratic Progressive Party (DPP)	All

2a. What was the size of the cabinet after the election? ...21 (including premier and vice premier)

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3. Political Parties (most active during the election in which the module was administered and receiving at least 3% of the vote):

Party Name/Label	Year Party Founded	Ideological Family	European Parliament Political Group (where applicable)	International Party Organizational Memberships
A. Kuomintang (KMT)	1894	Right Liberal		IDU (PDU)
B. Democratic Progressive Party (DPP)	1986	Left Liberal		Liberal International
C. People First Party (PFP)	2000	Right Liberal		
D. Taiwan Solidarity Union (TSU)	2001			
E.				
F.				

Ideological Party Families: (These are suggestions only. If a party does not fit well into this classification scheme, please provide an alternative and some explanation).

Ecology Parties	Liberal Parties	Agrarian Parties
Communist Parties	Right Liberal Parties	Ethnic Parties
Socialist Parties	Christian Democratic Parties	Regional Parties
Social Democratic Parties	Conservative Parties	Other Parties
Left Liberal Parties	National Parties	Independents
		Single Issue Parties

The following lists provide examples of political groups and organizations that a particular party might belong to. Please report any and all international affiliations for each party.

European Parliament Political Groups:

European People's Party
European Democrats
Party of European Socialists
European Liberal, Democrat and Reform Party
Confederal Group of European United Left
Nordic Green Left
Greens
European Free Alliance
Europe for the Nations
Europe of Democracies and Diversities

International Party Organizations:

Asia Pacific Socialist Organization
Caribbean Democratic Union
Christian Democratic International
Christian Democratic Organization of America
Council of Asian Liberals and Democrats
Democratic Union of Africa
Eastern European Social Democratic Forum
Green Movement
Humanist Party
International Communist Union
International Democrat Union
International League of Democratic Socialists
Liberal International
Natural Law Party
Pacific Democratic Union
Organization of African Liberal Parties
Socialist International
Socialist Inter-Africa

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4b. If you have asked respondents to rank political parties on an alternative dimension, other than the left-right dimension, please also provide your own rankings of the parties on this dimension.

Name of dimension:Taiwan Independence vs. China Reunification.....

Label for left hand position:Taiwan Independence.....

Label for right hand position:China Reunification.....

Party Name	LEFT										RIGHT											
A. Kuomintang.....	0	1	2	3	4	5	6	7	8	9	10	0	1	2	3	4	5	6	7	8	9	10
B. Democratic Progressive Party	0	1	2	3	4	5	6	7	8	9	10	0	1	2	3	4	5	6	7	8	9	10
C. People First Party.....	0	1	2	3	4	5	6	7	8	9	10	0	1	2	3	4	5	6	7	8	9	10
D. Taiwan Solidarity Union	0	1	2	3	4	5	6	7	8	9	10	0	1	2	3	4	5	6	7	8	9	10
E. New Party.....	0	1	2	3	4	5	6	7	8	9	10	0	1	2	3	4	5	6	7	8	9	10
F.	0	1	2	3	4	5	6	7	8	9	10	0	1	2	3	4	5	6	7	8	9	10

4bb. Do you believe there would be general consensus about these placements among informed observers in your country

Positive.

5. In your view, what are the five most salient factors that affected the outcome of the election (e.g. major scandals; economic events; the presence of an independent actor; specific issues)?

1. Ethnic Identity: Chinese vs. Taiwanese (Mainlanders vs. Taiwanese)

2. National Identity: Taiwan Independence vs. China Reunification

3. Anti-money Politics (Anti-corruption)

4. CHEN Shui-bian’s Leadership: Pro-CHEN vs. Anti-CHEN

5. Political Stability: Pro-Stability vs. Pro-Change

5a. Do you believe there would be general consensus about the importance of these factors among informed observers in your country?

Positive.

6. Electoral Alliances:

Sometimes, electoral alliances are made at the constituency level as, for example, in Finland. Documenting who is allied with whom, and how, in each constituency is a large task and we do not expect you to do more than make some general reference to the existence of constituency-level alliances. Sometimes, electoral alliances are made at the national level -- these are the alliances that we would like you will identify. Information is sought on who is allied with whom and on the nature of the electoral alliance.

a) Were electoral alliances permitted during the election campaign?

No ... Yes, and the following alliances were formed:

<u>Alliance Name</u>	<u>Participant Parties</u> (please indicate dominant members '*')
Alliance 1:
Alliance 2:
Alliance 3:
Alliance 4:
Alliance 5:

7. Party Leaders and Presidential Candidates

In legislative elections, please report the leader of each party.

In presidential elections, list presidential candidates and their parties. If candidates were endorsed by more than one party, please indicate this below.

<u>Party of Candidate</u>	<u>Name of Party Leader or Presidential Candidate</u>
A. Kuomintang.....Lien CHAN.....
B. Democratic Progressive PartyFrank HSIEH.....
C. People First Party.....James C. Y. SOONG.....
D. Taiwan Solidarity UnionChu-wen HUANG.....
E. New Party.....Chi-ta HSIEH.....
F.

Part II: Data on Electoral Institutions

If possible, please supplement this section with copies of the electoral law, voters' handbooks, electoral commission reports, and/or any other relevant materials.

A. QUESTIONS ABOUT ELECTORAL DISTRICTS.

Definitions: An electoral district is defined as a geographic area within which votes are counted and seats allocated. If a district cannot be partitioned into smaller districts within which votes are counted and seats allocated, it is called primary. If it can be partitioned into primary districts, and during the counting process there is some transfer of votes and/or seats from the primary districts to the larger district, then the larger district is called secondary. If a district can be partitioned into secondary districts (again with some transfer of votes and/or seats), it is called tertiary.

In some electoral systems, there are electoral districts that are geographically nested but not otherwise related for purposes of seat allocation. In Lithuania, for example, there are 71 single-member districts that operate under a majority runoff system, and also a single nationwide district that operates under proportional representation (the largest remainders method with the Hare quota). Neither votes nor seats from the single-member districts transfer to the nationwide district, however. The two processes are entirely independent (with voters having one vote in each district). In this case, the nationwide district, although it contains the 71 single-member districts, is not considered to be secondary. It is primary. One might say that there are two segments to the electoral system in such cases.

1. How many segments (as just defined) are there in the electoral system?
 - 1 segment
 - 2 segments
 - more than 2 ... ^...

Please answer the following questions (questions 2 through 11) for each segment of each directly elected house of the legislature:

2. How many primary electoral districts are there?29....
3. For each primary electoral district, how many members are elected from each district? (If district variation exists, answer 3a)

As few as 1 and as many as 13 under SNTV electoral system.

3a. If districts elect varying number of members, please list the districts, indicating the number of members elected from that district. (Attach separate sheets, as required).

<u>District</u>	<u>Number of Members</u>
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See the attached sheet.

4. How many secondary electoral districts are there? ...None...

5. How many tertiary electoral districts are there? ...None...

B. QUESTIONS ABOUT VOTING.

6. Exactly how are votes cast by voters?

One vote for candidate.

6a. How many votes do they or can they cast? ...One...

6b. Do they vote for candidates, for lists, or for both? (Explain)

Candidate only.

7. Are the votes transferable? ...No....

8. If more than one vote can be cast, can they be cumulated?

9. Are there any other features of voting that should be noted?

C. QUESTIONS ABOUT CONVERTING VOTES INTO SEATS.

10) Exactly how are votes converted into seats?

10a) Are there legally mandated thresholds that a party must exceed before it is eligible to receive seats? If so, what is the threshold?

District Magnitudes of the 2001 Legislative Yuan Election in Taiwan

<u>District</u>	<u>Number of Members</u>
Taipei City (District 1)	10
Taipei City (District 2)	10
Kaohsiung City (District 1)	6
Kaohsiung City (District 2)	5
Taipei County (District 1)	8
Taipei County (District 2)	10
Taipei County (District 3)	9
Keelung City	3
Yilan County	4
Taoyuan County	13
Hsinchu County	3
Hsinchu City	3
Miaoli County	4
Taichung County	11
Taichung City	7
Nantou County	4
Changhua County	10
Yunlin County	6
Chiayi County	4
Chiayi City	2
Tainan County	8
Tainan City	6
Kaohsiung County	9
Pingtung County	7
Taitung County	1
Hualien County	2
Penghu County	1
Kinmen County	1
Lienchiang County	1
Total: 29 districts	168

- 10b) What electoral formula or formulas are used?
- 11) If there are lists, are they closed, open, or flexible? please code below
- a.) closed?
 - b) flexible, but in practice virtually closed?
 - c) flexible?
 - d) flexible, but in practice virtually open? or
 - e) open?

Definitions: A list is closed if the seats that are awarded to that list are always occupied by the candidates in order of their appearance on the list (i.e., if the list gets x seats then necessarily the top x names on the list get the seats). A list is open if the question of which candidates on the list get the seats that are awarded to the list is determined solely by the votes that each candidate receives. A list is flexible if parties place their candidates in the order they would like to see them elected, but voters can, with varying degrees of ease, change this order through votes they cast for individual candidates.

**Please repeat questions 2 through 11 of this section for each segment
of each directly elected house of the legislature.**

D. QUESTIONS ABOUT THE POSSIBILITIES OF ELECTORAL ALLIANCE.

Definitions: A joint list refers to one on which candidates of different parties run together. Apparentement refers to a legal agreement between two or more lists to pool their votes for the purposes of an initial seat allocation, with seats initially allocated to the alliance then reallocated to the lists in the alliance.

12) What are the possibilities of alliance in the system?

No.

12a. Can parties run joint lists?Yes~.....No

12b. Is there apparentement or linking of lists?Yes~.....No

12c. Can candidates run with the endorsement of more than one party?Yes~.....No

12d. Do parties withdraw their lists or candidates in some constituencies, urging their supporters there to vote for an ally's list or candidate?Yes~.....No

12e. Other?

13. Are joint lists possible?No....

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13a. If joint lists are possible, are they subject to different regulations than single-party lists?
For example, higher thresholds, different numbers of candidates that may appear on the list, etc.

14. If apparemment is possible, what lists can participate in such agreements:

lists of the same party in the same constituency?

lists of the same party from different constituencies?

lists of different parties in the same constituency?

15) If candidates can run with the endorsement of more than one party, is this reflected on the ballot?

..... No.

..... No party endorsements are indicated on the ballot paper

..... Yes, candidate s name appears once, together with the names of all supporting parties

..... Yes, candidate s name appears as many times as there are different parties endorsing him or her, each time with the name of the endorsing party

..... Yes, other (please explain)

Part III: Data on Regime Type

Below are various questions about the type of regime--presidential, parliamentary, semi-presidential--in your country. There are two potential problems with these questions that should be noted at the outset. First, in some countries there may be a discrepancy between the *de jure* (or legal) situation and the *de facto* (or practical) situation. For example, in Great Britain the Queen still possesses a legal right to veto legislation, but this right has not been exercised since 1707. In the case of such obviously obsolete powers, please answer according to the *de facto* situation. Otherwise, describe the *de jure* situation. A second potential problem is that the questions may not be phrased optimally for the situation in your particular country. In such cases, please answer as best you can, providing some indication of the difficulties as you see them.

A. QUESTIONS REGARDING THE HEAD OF STATE.

Definitions: The Head of State is typically the highest ranking official in the executive branch of government. Often, this position is held by a president or a monarch, and may be more ceremonial than effective. The Head of Government is usually the highest ranking official in the legislative branch of government. In some systems, this may be someone other than the Head of State (i.e. the prime minister in the Westminster systems), while in other cases, the roles of the Head of State and Head of Government are combined (i.e. in the United States, the president serves as both the Head of State and the Head of Government).

1. Who is the Head of State?

- ..✓... President
- Monarch
- Prime Minister serves as ceremonial head of state
- Other (please specify)

2. How is the head of state selected?

- ..✓.. Direct election
- Indirect election
- Birth right
- Divine right
- Other (Explain)

2a. If by direct election, by what process?

- ..✓.. Plurality election
- Run-off or two-ballot system
- Other (Explain)

If by run-off system, how are the candidates selected for the final round?

- The (#) candidates with the highest vote totals advance to the second round
- Any candidate with at least% of the popular vote advances to the second round

2b. If by indirect election, by what process?

- Electoral college
- Selection by the legislature
- Other (Explain)

If by electoral college, how are electors chosen?.....
.....

Does the electoral college deliberate? Yes No

What is the voting procedure used by the electoral college?

If by the legislature, by which chamber(s) of the legislature? What is the voting procedure used?

3. Does the Head of State have the following powers? [Check all that apply.]

3a. Introduce legislation?Yes ... ✓ ...No

3b. Require expedited action on specific legislation? (i.e., set a deadline by which the legislature is required to act on the bill)?Yes ... ✓ ...No

Definitions: A Head of State possesses a partial veto when he or she can target specific clauses of a piece of legislation for veto, while promulgating the rest. In the U.S., such vetoes are sometimes called line item vetoes. A Head of State possesses a package veto when he or she can veto the entire piece of legislation submitted by the legislature, but cannot veto some parts and accept others.

3c. Package veto? ... ✓ ... NoYes, and the requirement to override the veto is

3d. Partial veto? ... ✓ ... NoYes, and the requirement to override the veto is

3e. Issue decrees with the power of law?Yes ... ✓ ...No

3f. Emergency powers? ... ✓ ... Yes No

3g. Negotiate treaties and international agreements? ... ✓ ... NoYes, provided the following additional requirements are met:

3h. Commander of the armed forces? ...✓...YesNo

3j. Initiate referenda or plebiscites?Yes ... ✓ ...No

3k. Refer legislation to the judicial branch for review of constitutionality? ...✓...YesNo

3m. Convene special legislative sessions? ...✓...YesNo

B. QUESTIONS ABOUT THE HEAD OF GOVERNMENT.

Definitions: In some countries, the Head of Government is directly elected, in elections that may or may not occur concurrently with legislative elections. In these cases, the Head of Government is said to be elected independently of the legislature. In others, the Head of Government is the leader of the governing party or governing coalition in the legislature, and so, the selection of the Head of Government depends upon the distribution of seats in the legislature. In these cases, the Head of Government is not elected independently of the legislature.

1. Is the Head of Government elected independently of the legislature? Yes ...✓... No

1a. Is the Head of Government also the Head of State? Yes ... ✓ ... No

1b. If the Head of Government is not elected independently of the legislature, how is the Head of Government selected?

.. ✓.. Appointed by the head of state alone

..... Appointed by the legislature alone

..... Nominated by the head of state, and approved by the legislature

..... Nominated by the legislature, and approved by the head of state

..... Other (Explain):

2. What authorities does the Head of Government have over the composition of the cabinet?

[Check all that apply.]

..... Names ministers and assigns portfolios alone

.. ✓.. Nominates ministers for approval by the president

..... Reviews and approves ministerial nominations made by the president

..... Dismisses ministers and reassigns portfolios at own discretion

..... Other (Explain):

3. What authorities does the Head of Government have over the policy making process?

[Check all that apply.]

.. ✓.. Chairs cabinet meetings

.. ✓.. Determines schedule of issues to be considered by the legislature

.. ✓.. Determines which alternatives will be voted on by the legislature, and in which order

.. ✓.. Refers legislative proposals to party or legislative committees

- Calls votes of confidence in government
- Other (Explain):

C. QUESTIONS REGARDING THE CABINET

1. By what method(s) can the cabinet be dismissed? [Check all that apply.]
 - By the head of state **acting** alone
 - .. √ .. By the prime minister **acting** alone
 - .. √ .. By majority vote of the legislature where a majority of all legislators is required
 - By majority vote of the legislature where a majority of those legislators voting is required
 - By some combination of the above, acting in concert (Explain)
 - Other (Explain):

D. QUESTIONS REGARDING THE LEGISLATURE

1. Can the legislature be dissolved prior to regularly scheduled elections?NoYes,
 - .. √ .. By the head of state **acting** alone (Only when a vote-of-no-confidence is passed.)
 - By the prime minister **acting** alone
 - By majority vote of the legislature
 - .. √ .. By some combination of the above, acting in concert (Explain):

The prime minister can ask the president to dissolve the Congress (the Legislative Yuan) when a vote-of-no-confidence is passed.

 - Other (Explain):

2. If the legislature can be dissolved prior to regularly scheduled elections, are there restrictions on when and how the legislature can be dissolved? [Check all that apply.]

.....On the timing of dissolution (e.g. not within one year after a legislative election) (Explain)

.. √ ..As a response to action/inaction by the legislature (e.g. only when the legislature has censured the cabinet; only if the legislature fails to pass the budget) (Explain)

..... Other (Explain):

3. Is there a second chamber of the legislature? .. √ .. No Yes, it is:
 - directly elected
 - indirectly elected through the following process

3a. If there is a second chamber and it is indirectly elected, are those who choose its members:
 wholly regional and/or local officials?
 partly regional and/or local officials?
 not necessarily regional and/or local officials?

3b. If there is a second chamber, does it have specific and exclusive legislative powers (i.e. the power to reject the executive's proposed budget)? No..... Yes,

3c. If there is a second chamber, does it have the power to remove the cabinet or censure its ministers? Yes No

E. FEDERALISM

1. Is there a constitutionally guaranteed division of power between the central government and regional and/or local governments? Yes .. \ .. No

2. Does the central government have the power to remove elected officials of regional and/or local governments? Yes .. \ .. No

F. REFERENCES

Please list any resources that were consulted in the preparation of this report, or that the CSES community may find especially helpful in understanding the political system described here.