COMPARATIVE STUDY OF ELECTORAL SYSTEMS
Module 2: Sample Design and Data Collection Report
August 23, 2004

Country: Germany
Date of Election: 22.9.2002

Type of Election (e.g., presidential, parliamentary, legislative): Parliamentary

Organization that conducted the survey field work:
University of Bamberg

Investigators Responsible for Data Collection:

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A. Study Design

Post-Election Study

X Pre-/Post-Election Panel Study

Part of the project "Politische Einstellungen, politische Partizipation und Wählerverhalten im vereinigten Deutschland. Ein Langfristvergleich von Determinanten und Konsequenzen" - Deutsche Nationale Wahlstudie“, Post-election drop-off to the face-to-face pre-election survey.

Date Post-Election Interviewing Began: 23. Sept. 2002

Date Post-Election Interviewing Ended: 31. Okt. 2002

If Panel Study:

Date Pre-Election Interviewing Began: 5. Aug. 2002

Date Pre-Election Interviewing Ended: 31.10.2002

Mode of (post-election) interview:

In person, face-to-face
Telephone
x Mail or self-completion supplement

Language(s) used in questionnaire(s) (Please provide copies of questionnaires in all languages used, as well as a version translated in English, if applicable, as part of the Election Study Deposit): German
B. Sample Design and Sampling Procedures

1. Eligibility Requirements
   a) Age: Minimum___18___ Maximum__no_______
   b) Citizenship: Yes____x____ No_______
   c) Other requirements:

2. Sample Frame:
   a) Were any regions of the country excluded from the sample frame?
      No__x___ Yes____
      If yes, please explain:

   b) Were institutionalized persons excluded from the sample?
      No_____ Yes__x___
      Please explain:

   c) Were military personnel excluded from the sample?
      No__x___ Yes_____ 
      Please explain:
d) If interviews were conducted by telephone:
   i. What is the estimated percentage of households without a phone: _______%

   ii. Were unlisted telephone numbers included in the population sampled?
       No_____ Yes_____
       Please explain:

   iii. Were substitution methods used for unproductive sample points?
        No_____ Yes_____
        Please explain:

e) Were other persons excluded from the sample frame?
   No_____ Yes_____
   Please explain:

f) Estimated total (a + b + c + d + e) percentage of the eligible population excluded from the sample frame: _______%
3. Sample Selection Procedures:
   
a) What were the primary sampling units?
   
   Households
   
b) Were the primary sampling units randomly selected?
   
   No______ Yes___x___
   
   Please explain:
   
c) Were there further stages of selection?
   
   No______ Yes____
   
   Please explain:
   
d) How were individual respondents identified?
   
   Birthday rule
   
e) Under what circumstances was a sample line designated non-sample?

   Please check all that apply:
   
   ☐  Non-residential sample point
   X  All members of household are ineligible
   X  Housing unit is vacant
   ☐  No answer at housing unit after ______ callbacks
   ☐  Other (Please explain):
f) Were non-sample replacement methods used?

No_x_____  Yes_____ 

Please describe:

g). For surveys conducted by telephone:

i. Was the sample a random digit dial (RDD) sample?  Yes_____  No_____  

ii. Was the sample a listed sample?  Yes_____  No_____  

iii. Was the sample a dual frame sample?  No_____  Yes_____  

If yes, what % list frame________ and what % RDD___________

h) For surveys conducted by mail:

Was the sample a listed sample?
Yes_____  No_____  

Please describe:
4. Compliance:

Prior to the study:

a) Was a letter sent to respondent?

   No  x  Yes
   (If yes, please include a copy of the letter in the Deposit)

b) Was payment sent to respondent?

   No  x  Yes

   If yes, please describe:

c) Was a token gift sent to respondent?

   No  x  Yes

   If yes, please describe:

d) Were any other incentives used?

   No  x  Yes

   If yes, please describe:
5. During the Field Period:

   a) How many contacts were made with the household before declaring it non-sample?

   b) How many contacts were made with the household before declaring it non-interview?

   c) Maximum number of days over which a household was contacted?

   d) Did interviewers vary the time of day at which they re-contacted the household? No_____ Yes_____

       Please describe:

   e) Refusal Conversion:

       i. Were efforts made to persuade respondents who were reluctant to be interviewed? No_____ Yes_____

           Please describe:

       ii. Were respondents who were reluctant to be interviewed sent a letter persuading them to take part? No_____ Yes_____ If so, please describe (in addition, please include a copy of the letter in the deposit):

       iii. Was payment offered to respondents who were reluctant to take part? No_____ Yes_____ If yes, how much?
iv. Were respondents who were reluctant to take part turned over to a more experienced interviewer? Yes_____ No_____ 

v. What was the maximum number of re-contacts used to persuade respondent to be interviewed? 

vi. Were any other methods used to persuade respondents reluctant to be interviewed to take part? 
No_____ Yes_____ 
Please describe: 

6. Response Rate: 
(Note: if a panel study, please report response rate of the first wave) 

Total number of sample lines issued: 
Number of refusals: 
Number never contacted (no-contact): 
Other non-response: 
Number of lines of non-sample: 
Total number of completed interviews: 

Response Rate: 

7. Panel Attrition: 
(Note: This only applies if CSES questionnaire is administered as part of a 2-wave panel study): 

Total number of respondents in Wave I of the study: 2000 
Number of Wave I respondents re-interviewed in wave containing CSES Module: 1010 
Percent total panel attrition: 49% 

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8. Panel attrition by age and education (given as percentages; please indicate whether numbers provided are % re-interviewed or % attrition):

<table>
<thead>
<tr>
<th>Age</th>
<th>Education</th>
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<tbody>
<tr>
<td>18-25</td>
<td>_________% None</td>
</tr>
<tr>
<td>26-40</td>
<td>_________% Incomplete primary</td>
</tr>
<tr>
<td>41-65</td>
<td>_________% Primary completed</td>
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<tr>
<td>65 &amp; over</td>
<td>_________% Incomplete secondary</td>
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<tr>
<td></td>
<td>Secondary completed</td>
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<td></td>
<td>University incomplete</td>
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<td></td>
<td>University degree</td>
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</tbody>
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9. Sample Weights

a) Are weights included in the data file?
   No_x___  Yes_____

   Please describe how the weights were constructed:

b) Are the weights designed to compensate for disproportionate probability of selection at the respondent/household level?
   No_x___  Yes_____

   Please describe:

c) Are the weights designed to match known demographic characteristics of the population?
   No___x__ Yes_____

   Please describe:

d) Are the data weighted to correct for non-response?
   No____x_  Yes_____ 

   Please describe:
10. a) Please describe the interviewers (e.g., age, level of education, years of experience):

b) Please provide a description of interviewer training:

11. Comparison of Sample to Population

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Population Estimates</th>
<th>Sample Estimates</th>
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<tbody>
<tr>
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<td>Unweighted</td>
<td>Weighted</td>
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<tr>
<td>Age</td>
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