

Comparative Study of Electoral Systems (CSES)
Module 4: Design Report (Sample Design and Data Collection Report)

September 10, 2012

Country: France
Date of Election: April, 22nd 2012 (first round) and May, 6th 2012 (second round)

Prepared by: Nicolas Sauger
Date of Preparation: July 2013

NOTES TO COLLABORATORS:

- Where brackets [] appear, answer by placing an “X” within the appropriate bracket or brackets.
- If more space is needed to answer any question, please lengthen the document as necessary.

Collaborator(s):

Collaborators are the contact persons for election studies that appear in the CSES dataset - they are not necessarily the parties who collected the data. These collaborators and their contact information will be listed on the CSES website.

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Name: Title: Organization: Address: Telephone: Fax: E-Mail: Website:	Name: Title: Organization: Address: Telephone: Fax: E-Mail: Website:

Data Collection Organization:

Organization that conducted the survey field work/data collection:

Organization: TNS-Sofres Address: 138, avenue Marx Dormoy Montrouge 92129 cedex France Telephone: Fax: E-Mail: Website: www.tns-sofres.com
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Funding Organization(s):

Organization(s) that funded the data collection:

Organization: Mairie de Paris Address: Hôtel de Ville de Paris Place de l'Hôtel de Ville 75196 Paris cedex 04 Telephone: Fax: E-Mail: Website: www.paris.fr
Organization: Address: Telephone: Fax: E-Mail: Website:

Organization: Address: Telephone: Fax: E-Mail: Website:
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Archiving Organization

If appropriate, please indicate the primary location where the full, original election study dataset (not just the CSES portion) will be archived:

Organization: CDSP Address: 27 rue St Guillaume 75337 Paris cedex 07 Telephone: Fax: E-Mail: Website: cdsp.sciences-po.fr

Please indicate the date when the study is expected to be available at this archive: October 2013

Study Design

1. Timing of the study that the CSES Module was included in:

- Post-Election Study
- Pre-Election/Post-Election Panel Study
- Between Rounds

2a. Date Post-Election Interviewing Began: May, 10 2012

2b. Date Post-Election Interviewing Ended: June, 9 2012

3. Mode of interviewing for the post-election survey in which the CSES Module appeared:

(If multiple modes were used, please mark all that apply.)

- In person, face-to-face
- Telephone
- Mail or self-completion supplement

Internet

4a. Was the survey part of a panel study?

Yes

No

4b. If the survey was part of a panel study, please describe the design of the panel study, including the date at which interviewing for each prior wave began and ended:

Translation

Please provide copies of questionnaires in all languages used as part of the election study deposit. For questionnaires in a language other than English, please also provide a version of each translated back into English. Note: Questions are based on those developed for the ISSP.

5. Was the questionnaire translated?

- Yes, translated by member(s) of research team
- Yes, by translation bureau
- Yes, by specially trained translator(s)
- No, not translated

6. Please list all languages used for the fielded module: French

7a. If the questionnaire was translated, was the translated questionnaire assessed/checked or evaluated?

- Yes, by group discussion
- Yes, an expert checked it
- Yes, by back translation
- Other; please specify: _____
- No
- Not applicable

7b. If the questionnaire was translated, was the questionnaire pre-tested?

- Yes
- No
- Not applicable

7c. If the questionnaire was translated, were there any questions which caused problems when translating?

- Yes
- No
- Not applicable

7d. If the questionnaire was translated, please provide a list of all questions which caused problems when translating. For each question listed, describe what problems were encountered and how they were solved:

Sample Design and Sampling Procedures

8. Please describe the population that your sample is meant to be representative of:

French population registered on electoral lists at the exception of all overseas territories.

Eligibility Requirements

9a. Must a person be a certain age to be interviewed?

Yes

No

If yes, what ages could be interviewed? 18

9b. Must a person be a citizen to be interviewed?

Yes

No

9c. Must a person be registered to vote to be interviewed?

Yes

No

9d. Please list any other interviewing requirements or filters used:

No handicap preventing the respondent to read instructions or to answer the interviewer.

Sample Frame

10a. Were any regions of the country excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? 4.5 %

If yes, please explain: Overseas territories have been excluded because of costs linked to these regions.

10b. Were institutionalized persons excluded from the sample?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? no official figure %

If yes, please explain: interviewers are prevented to make contacts with institutionalized persons.

10c. Were military personnel excluded from the sample?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10d. If interviews were conducted by telephone, what is the estimated percentage of households without a phone? _____ %

Please explain:

10e. If interviews were conducted by telephone, were unlisted telephone numbers included in the population sampled?

Yes

No

If no, what percent of the total eligible population did this exclude from the sample frame? _____ %

10f. Were other persons excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10g. Please estimate the total percentage of the eligible population excluded from the sample frame: __<10__ %

Sample Selection Procedures

11. Please describe, in your own words, how the sample for the study was selected. If the survey is part of a panel study, please also describe the original sample, from the beginning of the study.

It is a stratified three stage probability sampling.

- Stratification: Table with 21 regions and 5 agglomeration classes. Each cell contains the corresponding population size.
- Stage 1: Allocation of 400 Primary Sampling Units (districts) to the defined cells using Cox Method of controlled rounding. Selection of PSUs from the cells according to the allocation proportional to population size.
- Stage 2: Selection of 5 (+20) addresses by PSU via random route. Replacement of any failed address is possible by extension of the random route.
- Stage 3: Selection of an individual within a household via Last-Birthday-Method.

12a. What were the primary sampling units?

PSU were mostly municipalities (except for the biggest cities which were divided into arrondissements and smallest which were grouped into cantons).

12b. How were the primary sampling units selected?

Random selection with stratification.

12c. Were the primary sampling units randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

See 11.

13. Were there further stages of selection?

Yes

No

13a. If there were further stages of selection, what were the sampling units at each of the additional stages?

Second stage: addresses (homes).

Third stage: individuals (within addresses).

13b. If there were further stages of selection, how were the sampling units selected at each of the additional stages?

Stage 2: random route.

Stage 3: Last birthday method.

13c. If there were further stages of selection, were units at each of these stages randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

14a. How were individual respondents identified and selected in the final stage?

List of all eligible respondents at each selected address and then last birthday method.

14b. Could more than one respondent be interviewed from a single household?

Yes

No

If yes, please explain:

15. Did the sample design include clustering at any stage?

Yes

No

If yes, please describe: Clustering happens at the PSU level.

16. Did the sample design include stratification?

Definition: Stratification involves the division of the population of interest according to certain characteristics (for instance: geographic, political, or demographic). Random selection then occurs within each of the groups that result.

Yes

No

If yes, please describe (please include the list of characteristics used for stratification):
Table with 21 regions and 5 agglomeration classes

17. Was quota sampling used at any stage of selection?

Yes

No

If yes, please describe:

18. Was substitution of individuals permitted at any stage of the selection process or during fieldwork?

Yes

No

If yes, please describe:

19. Under what circumstances was a household designated non-sample? Please check all that apply:

Non-residential sample point

All members of household are ineligible

Housing unit is vacant

No answer at housing unit after __4__ callbacks

Other (Please explain):

20. Were non-sample replacement methods used?

Yes

No

Please describe: 5 interviews had to be conducted in each PSU. Replacement of 'failed' addresses was permitted. New addresses were drawn by following the same random route, after approval of the fieldwork supervisor.

21a. For surveys conducted by telephone, was the sample a random digit dial (RDD) sample?

- Yes
- No

21b. For surveys conducted by telephone, was the sample a listed sample?

- Yes
- No

21c. For surveys conducted by telephone, was the sample a dual frame sample?

- Yes
- No

If yes, what % list frame_____ and what % RDD_____

22. For surveys conducted by mail, was the sample a listed sample?

- Yes
- No

Please describe:

23. For surveys conducted on the Internet, did any respondents self-select into the survey?

- Yes
- No

Please explain:

Incentives

24a. Prior to the study, was a letter sent to the respondent?

- Yes
 No

(If yes, please provide a copy of the letter.)

24b. Prior to the study, was a payment sent to the respondent?

- Yes
 No

If yes, please describe (including amount of payment):

24c. Prior to the study, was a token gift sent to the respondent?

- Yes
 No

If yes, please describe:

24d. Did respondent receive an additional payment after their participation? (Do not include any payment made prior to the study.)

- Yes
 No

If yes, please describe (including amount of payment):

24e. Were any other incentives used?

- Yes
 No

If yes, please describe:

Interviewers

25. Please describe the interviewers (e.g., age, level of education, years of experience):

All interviewers were experienced in f2f interviewing. Diversity was however important in terms of sex, age, and education.

26. Please provide a description of interviewer training: Specific interviewer's training was held in sessions of 2 hours by phone by small groups of 5 persons. They included a presentation of the study, training on sampling method, and explanation of the main difficulties of the questionnaire.

Contacts

27a. What was the average number of contact attempts made per household, for the entire sample?

2.3

27b. For households where contact was made, what was the average number of contact attempts prior to first contact?

2.05

27c. During the field period, how many contacts were made with the household before declaring it a **non-sample**?

2.6

28d. During the field period, how many contacts were made with the household before declaring it a **non-interview**?

2.1

28e. During the field period, what were the maximum number of days over which a household was contacted?

8

28f. During the field period, did interviewers vary the time of day at which they re-contacted the household?

Yes

No

If yes, please describe: Of four mandatory visits, two at least should be conducted during evening (i.e. after 7 pm) and one during the week end.

Refusal Conversion

29a. Were efforts made to persuade respondents who were reluctant to be interviewed?

Yes

No

Please describe: Specific training for interviewers. Leaflet.

29b. Were respondents who were reluctant to be interviewed sent a letter persuading them to take part?

Yes

No

(If yes, please provide a copy of the letter or letters.)

If yes, please describe:

29c. Was payment offered to respondents who were reluctant to take part?

Yes

No

If yes, how much?

29d. Were respondents who were reluctant to take part turned over to a more experienced interviewer?

Yes

No

29e. What was the maximum number of re-contacts used to persuade respondents to be interviewed?

0

29f. Were any other methods used to persuade respondents reluctant to be interviewed to take part?

Yes

No

If yes, please describe:

Interview/Survey Verification

Definition: Interview/survey verification is the process of verifying that an interview was conducted and that the survey was administered to the correct respondent, for quality control purposes.

30. Was interview/survey verification used?

Yes

No

If yes, please describe the method(s) used: Control by phone calls and mail.

If yes, please indicate the percent of completed surveys that were verified: 20 %

Response Rate

Note: If multiple modes of interviewing were used for the post-election survey in which the CSES Module appeared, please repeat the following questions as appropriate for each of the modes used.

31. What was the response rate of the survey that the CSES Module appeared in? Please show your calculations. (If the CSES Module appeared in a panel study, please report the response rate of the first wave of the study, even if the CSES Module did not appear in that wave.)

Response rate: Interviews (2009) / Eligible households (5572)=36%

32. Please provide the following statistics for the survey that the CSES Module appeared in. (If the CSES Module appeared in a panel study, please report the statistics for the first wave of the study, even if the CSES Module did not appear in that wave.)

A. Total number of households in sample:	<u>6697</u>
B. Number of valid households:	<u>5572</u>
C. Number of invalid (non-sample) households:	<u>1125</u>
D. Number of households of unknown validity:	<u> </u>
E. Number of completed interviews:	<u>2009</u>
F. Number of partial interviews:	<u>26</u>
G. Number of refusals and break-offs:	<u>2233</u>
H. Number non-contact (never contacted):	<u>1202</u>
I. Other non-response:	<u>302</u>

The sum of B+C+D should equal the value of A. If not, please describe why:

If statistic D (number of households of unknown validity) has a value greater than zero (0), please estimate the proportion of households of unknown validity that are valid:

The sum of E+F+G+H+I should equal the value of B. If not, please describe why:

If statistic I has a value greater than zero (0), please describe what cases fall into this category: I is the sum of appointments made without interview following (32) and addresses where selected respondent is absent for all the fieldwork period (270).

33. If the CSES Module appeared in a panel study, how many waves were conducted prior to the wave that included the CSES Module?

34. If the CSES Module appeared in a panel study, what was the total panel attrition between the first wave of the study and the wave that included the CSES Module? Please show your calculations.

35. If the CSES Module appeared in a panel study, please provide the number of completed interviews for the wave that included the CSES Module:

36. If the CSES Module appeared in a panel study, please provide the following statistics for panel attrition by age and education. In each cell, indicate the percent of all completed interviews in each category for the indicated wave.

Age	First wave of study	Wave that included CSES
18-25	%	%
26-40	%	%
41-64	%	%
65 and over	%	%

Education	First wave of study	Wave that included CSES
None	%	%
Incomplete primary	%	%
Primary completed	%	%
Incomplete secondary	%	%
Secondary completed	%	%
Post-Secondary Trade/Vocational	%	%
University incomplete	%	%
University degree	%	%

Post-Survey Adjustment Weights

37. Are weights necessary to make the sample representative of the population being studied?

Yes

No

If yes, please explain: Significant differences exist in terms of socio-demographics and even more in terms of election outcomes.

38. Are weights included in the data file?

Yes

No

39. If weights are included in the data file, please describe in detail how the weights were constructed:

Weight 1: sociodemographics (sex, age, and occupation)

Weight 2: politics (results of first and second round of presidential election)

Weight 3: weight 1 + weight 2

40a. If weights are included in the data file, are the weights designed to compensate for disproportionate probability of selection?

Yes

No

If yes, please describe:

40b. If weights are included in the data file, are the weights designed to match known demographic characteristics of the population?

Yes

No

If yes, please describe: see 39

40c. If weights are included in the data file, are the weights designed to correct for non-response?

Yes

No

If yes, please describe:

40d. If weights are included in the data file, are the weights designed to correct to the official election results?

Yes

No

If yes, please describe: see 39

41. Comparison of Completed Interviews to Population (please provide as percentages of the total):

Characteristic	Population Estimates	Completed Interviews	
		Unweighted Distribution	Weighted Distribution
<u>Age</u>			
18-24	10.8%	7.6%	%
25-34	15.5%	11.8%	%
35-49	25.6%	26.8%	%
50-64	25.1%	27.7%	%
65 and over	23.0%	25.5%	%
<u>Education</u>			
None	%	%	%
Incomplete Primary	%	%	%
Primary Completed	%	%	%
Incomplete Secondary	%	%	%
Secondary Completed	%	%	%
Post-Secondary Trade/ Vocational	%	%	%
University Incomplete	%	%	%
University Degree	%	%	%
<u>Gender</u>			
Male	46.6%	45.0%	%
Female	52.4%	55.0%	%

42. Please indicate the source of the population estimates in the prior question. English language sources are especially helpful. Include website links or contact information if applicable.

www.insee.fr , population census.