

Comparative Study of Electoral Systems (CSES) Module 3: Sample Design and Data Collection Report

June 05, 2006

Country: South Africa

Date of Election: 2009

Prepared by: Robert Mattes and Carlos Shenga

Date of Preparation: 4 October 2012

NOTES TO COLLABORATORS:

- Where brackets [] appear, answer by placing an “X” within the appropriate bracket or brackets.
- If more space is needed to answer any question, please lengthen the document as necessary.

Collaborator(s):

Collaborators are the contact persons for election studies that appear in the CSES dataset - they are not necessarily the parties who collected the data. These collaborators and their contact information will be listed on the CSES website.

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Name: Title: Organization: Address: Telephone: Fax: E-Mail: Website:	Name: Title: Organization: Address: Telephone: Fax: E-Mail: Website
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Data Collection Organization:

Organization that conducted the survey field work/data collection:

Organization: Citizen Surveys Address: 1 st Floor, De Waal House 172 Victoria Road Woodstock 7925 Telephone: 021-447-4484 Fax: 021-448-6312 E-Mail: info@citizensurveys.com Website: www.citizensurveys.com

Funding Organization(s):

Organization(s) that funded the data collection:

Organization: Citizens Surveys Address: 1 st Floor, De Waal House 172 Victoria Road Woodstock 7925 Telephone: 021-447-4484 Fax: 021-448-6312 E-Mail: info@citizensurveys.com Website: www.citizensurveys.com
Organization: Open Society Foundation for South Africa Address: 2 nd Floor, B2 Parklane c/o Park and Alexandra Roads Pinelands 7405 South Africa Telephone: +27-21-511-1679 Fax: +27-21-511-5058 E-Mail: admin@ct.osf.org.za Website: www.osf.org.za

<p>Organization: Institute for Security Studies</p> <p>Address: 2nd Floor, The Armoury Buchanan Square, 160 Sir Lowry Road Woodstock South Africa</p> <p>Telephone: +27-21-461-7211 Fax: +27-21-461-7213 E-Mail: capetown@issafrika.org Website:</p>
<p>Organization: Mershon Center for International Security Studies, Ohio State University</p> <p>Address: 1501 Neil Ave. Columbus OH 43201</p> <p>Telephone: 1-614-292-1681 Fax: 1-614-292-2407 E-Mail: mershoncenter@osu.edu Website: www.mershoncenter.osu.edu/</p>
<p>Organization: Center for Political Studies, Institute for Social Research, University of Michigan</p> <p>Address: P.O. Box 1248 Ann Arbor, MI 48106-1248</p> <p>Telephone: 1-734-936-1774 Fax: 1-734 647-4575 E-Mail: Website: http://www.isr.umich.edu/home/about/cps.html</p>

Archiving Organization

If appropriate, please indicate the primary location where the full, original election study dataset (not just the CSES portion) will be archived:

Organization: DataFirst University of Cape Town Address: Private Bag Rondebosch 7701 Telephone: +27-21-650-5708 Fax: +27-21-650-5709 E-Mail: info@data1st.org Website: www.datafirst.uct.ac.za
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Please indicate the date when the study is expected to be available at this archive:

Study Design

1. Timing of the study that the CSES Module was included in:

- Post-Election Study
 Pre-Election/Post-Election Panel Study

2a. Date Post-Election Interviewing Began:

2 October 2009

2b. Date Post-Election Interviewing Ended:

31 October 2009

3. Mode of (post-election) interview:

- In person, face-to-face
 Telephone
 Mail or self-completion supplement
 Internet

4a. Was the survey part of a panel study?

- Yes
 No

4b. If the survey was part of a panel study, please describe the design of the panel study, including the date at which interviewing for each prior wave began and ended:

Translation

Please provide copies of questionnaires in all languages used as part of the election study deposit. For questionnaires in a language other than English, please also provide a version of each translated back into English. Note: Questions are based on those developed for the ISSP.

5. Was the questionnaire translated?

- Yes, translated by member(s) of research team
- Yes, by translation bureau
- Yes, by specially trained translator(s)
- No, not translated

6. Please list all languages used for the fielded module:

English
Afrikaans
IsiXhosa/Xhosa
SePedi/North Sotho
SeSotho/South Sotho
SetTswana/Tswana
Shangaan
Swazi
IsiZulu/Zulu
Venda
Ndebele

7a. If the questionnaire was translated, was the translated questionnaire assessed/checked or evaluated?

- Yes, by group discussion
- Yes, an expert checked it
- Yes, by back translation
- Other; please specify: _____
- No
- Not applicable

7b. If the questionnaire was translated, was the questionnaire pre-tested?

- Yes
- No
- Not applicable

7c. If the questionnaire was translated, were there any questions which caused problems when translating?

- Yes
- No

Not applicable

7d. If the questionnaire was translated, please provide a list of all questions which caused problems when translating. For each question listed, describe what problems were encountered and how they were solved:

Sample Design and Sampling Procedures

8. Please describe the population that your sample is meant to be representative of:

South African adult population, 18yrs and older

Eligibility Requirements

9a. Must a person be a certain age to be interviewed?

Yes

No

If yes, what ages could be interviewed?

18

9b. Must a person be a citizen to be interviewed?

Yes

No

9c. Must a person be registered to vote to be interviewed?

Yes

No

9d. Please list any other interviewing requirements or filters used:

Sample Frame

10a. Were any regions of the country excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10b. Were institutionalized persons excluded from the sample?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10c. Were military personnel excluded from the sample?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10d. If interviews were conducted by telephone, what is the estimated percentage of households without a phone? _____ %

Please explain:

10e. If interviews were conducted by telephone, were unlisted telephone numbers included in the population sampled?

Yes

No

If no, what percent of the total eligible population did this exclude from the sample frame? _____ %

10f. Were other persons excluded from the sample frame?

Yes

No

If yes, what percent of the total eligible population did this exclude from the sample frame? _____ %

If yes, please explain:

10g. Please estimate the total percentage of the eligible population excluded from the sample frame: _____ %

Sample Selection Procedures

11. Please describe, in your own words, how the sample for the study was selected. If the survey is part of a panel study, please also describe the original sample, from the beginning of the study.

The sample was a random, nationally representative, stratified, area probability cluster sample

12a. What were the primary sampling units?

Census enumerator areas

12b. How were the primary sampling units selected?

Random, with probability proportionate to population size.

12c. Were the primary sampling units randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

Random, with probability proportionate to population size.

13. Were there further stages of selection?

Yes

No

13a. If there were further stages of selection, what were the sampling units at each of the additional stages?

13b. If there were further stages of selection, how were the sampling units selected at each of the additional stages?

13c. If there were further stages of selection, were units at each of these stages randomly selected?

Yes

No

Please explain how the units were randomly selected. If the units were not randomly selected, please provide a justification for why the units were not randomly selected.

14a. How were individual respondents identified and selected in the final stage?

Random selection. The household is enumerated for all adult 18 years and over, numbered cards are assigned to each member, and the head of household selects one of these cards randomly.

14b. Could more than one respondent be interviewed from a single household?

Yes

No

If yes, please explain:

15. Did the sample design include clustering at any stage?

Yes

No

If yes, please describe:

Four interviews were conducted in each primary sampling unit

16. Did the sample design include stratification?

Definition: Stratification involves the division of the population of interest according to certain characteristics (for instance: geographic, political, or demographic). Random selection then occurs within each of the groups that result.

Yes

No

If yes, please describe (please include the list of characteristics used for stratification):

Primary sampling units were stratified by Province (9), Rural/Urban status (2), and dominant race group using apartheid era categories (4), creating 72 strata

17. Was quota sampling used at any stage of selection?

Yes

No

If yes, please describe:

Every other interview must be conducted with a female

18. Was substitution of individuals permitted at any stage of the selection process or during fieldwork?

Yes

No

If yes, please describe:

If the household is vacant, if the household refuses to participate, if the selected person refuses, or if the selected respondent is not available after two callbacks, interviewers are instructed to move to the next house in the walk pattern (i.e. every tenth house). They are not permitted to substitute within a household.

19. Under what circumstances was a household designated non-sample? Please check all that apply:

Non-residential sample point

All members of household are ineligible

Housing unit is vacant

No answer at housing unit after _2_ callbacks

Other (Please explain):

Selected person not available after 2 callbacks.

20. Were non-sample replacement methods used?

Yes

No

Please describe:

If the household is vacant, if the household refuses to participate, if the selected person refuses, or if the selected respondent is not available after two callbacks, interviewers are instructed to move to the next house in the walk pattern (i.e. every tenth house). They are not permitted to substitute within a household.

21a. For surveys conducted by telephone, was the sample a random digit dial (RDD) sample?

Yes

No

21b. For surveys conducted by telephone, was the sample a listed sample?

Yes

No

21c. For surveys conducted by telephone, was the sample a dual frame sample?

Yes

No

If yes, what % list frame_____ and what % RDD_____

22. For surveys conducted by mail, was the sample a listed sample?

Yes

No

Please describe:

23. For surveys conducted on the Internet, did any respondents self-select into the survey?

Yes

No

Please explain:

Incentives

24a. Prior to the study, was a letter sent to the respondent?

Yes

No

(If yes, please provide a copy of the letter.)

24b. Prior to the study, was a payment sent to the respondent?

Yes

No

If yes, please describe (including amount of payment):

24c. Prior to the study, was a token gift sent to the respondent?

- Yes
 No

If yes, please describe:

24d. Did respondent receive an additional payment after their participation? (Do not include any payment made prior to the study.)

- Yes
 No

If yes, please describe (including amount of payment):

24e. Were any other incentives used?

- Yes
 No

If yes, please describe:

Interviewers

25. Please describe the interviewers (e.g., age, level of education, years of experience):

Interviewers range between 25 and 60 years of age, all have high school matriculation, and have long term relationships with the survey company.

26. Please provide a description of interviewer training:

The principal investigators met in Cape Town with the senior field management team, and field supervisors from around the country, and went over the questionnaire question-by-question. Then provincial managers repeated the training with each of their team of interviews in 9 different provincial centers.

Contacts

27a. What was the average number of contact attempts made per household, for the entire sample?

An average of 1.05 households contacted to get each interview

27b. For households where contact was made, what was the average number of contact attempts prior to first contact?

An average of 1.12 calls to the actual household interviewed

27c. During the field period, how many contacts were made with the household before declaring it a **non-sample**?

1 if household refused
2 callbacks if not at home

28d. During the field period, how many contacts were made with the household before declaring it a **non-interview**?

28e. During the field period, what were the maximum number of days over which a household was contacted?

Data not available, but probably two at most

28f. During the field period, did interviewers vary the time of day at which they re-contacted the household?

Yes

No

If yes, please describe:

Refusal Conversion

29a. Were efforts made to persuade respondents who were reluctant to be interviewed?

Yes

No

Please describe:

29b. Were respondents who were reluctant to be interviewed sent a letter persuading them to take part?

Yes

No

(If yes, please provide a copy of the letter or letters.)

If yes, please describe:

29c. Was payment offered to respondents who were reluctant to take part?

Yes

No

If yes, how much?

29d. Were respondents who were reluctant to take part turned over to a more experienced interviewer?

Yes

No

29e. What was the maximum number of re-contacts used to persuade respondents to be interviewed?

29f. Were any other methods used to persuade respondents reluctant to be interviewed to take part?

- Yes
- No

If yes, please describe:

Interview/Survey Verification

Definition: Interview/survey verification is the process of verifying that an interview was conducted and that the survey was administered to the correct respondent, for quality control purposes.

30. Was interview/survey verification used?

- Yes
- No

If yes, please describe the method(s) used:

Random back checks conducted either by field supervisor before team left area, or by phone from central office

If yes, please indicate the percent of completed surveys that were verified: 37 %

Response Rate

31. What was the response rate of the survey that the CSES Module appeared in? Please show your calculations. (If the CSES Module appeared in a panel study, please report the response rate of the first wave of the study, even if the CSES Module did not appear in that wave.)

48%

Original household interviewed	704 X 1 = 704
2 nd household contacted interviewed	173 X 2 = 346
3 rd household contacted interviewed	121 X 3 = 363
4 th household contacted interviewed	76 X 4 = 304
5 th household contacted interviewed	54 X 5 = 270
6 th household contacted interviewed	34 X 6 = 204
7 th household contacted interviewed	38 X 7 = 266
8 th household contacted interviewed	1 X 8 = 8
<hr/>	
	2465 contacts for 1200 interview = .487

32. Please provide the following statistics for the survey that the CSES Module appeared in. Note: If the CSES Module appeared in a panel study, please report the statistics for the first wave of the study, even if the CSES Module did not appear in that wave.)

A. Total number of households in sample:	<u>1200</u>
B. Number of valid households:	<u>1200</u>
C. Number of invalid (non-sample) households:	<u>0</u>
D. Number of households of unknown validity:	<u>0</u>
E. Number of completed interviews:	<u>1200</u>
F. Number of partial interviews:	<u>0</u>
G. Number of refusals and break-offs:	<u>0</u>
H. Number non-contact (never contacted):	<u>0</u>
I. Other non-response:	<u>0</u>

The sum of B+C+D should equal the value of A. If not, please describe why:

If statistic D (number of households of unknown validity) has a value greater than zero (0), please estimate the proportion of households of unknown validity that are valid:

The sum of E+F+G+H+I should equal the value of B. If not, please describe why:

If statistic I has a value greater than zero (0), please describe what cases fall into this category:

33. If the CSES Module appeared in a panel study, how many waves were conducted prior to the wave that included the CSES Module?

34. If the CSES Module appeared in a panel study, what was the total panel attrition between the first wave of the study and the wave that included the CSES Module? Please show your calculations.

35. If the CSES Module appeared in a panel study, please provide the number of completed interviews for the wave that included the CSES Module:

36. If the CSES Module appeared in a panel study, please provide the following statistics for panel attrition by age and education. In each cell, indicate the percent of all completed interviews in each category for the indicated wave.

Age	First wave of study	Wave that included CSES
18-25	%	%
26-40	%	%
41-64	%	%
65 and over	%	%

Education	First wave of study	Wave that included CSES
None	%	%
Incomplete primary	%	%
Primary completed	%	%
Incomplete secondary	%	%
Secondary completed	%	%
Post-Secondary Trade/Vocational	%	%
University incomplete	%	%
University degree	%	%

Post-Survey Adjustment Weights

37. Are weights necessary to make the sample representative of the populated being studied?

Yes

No

If yes, please explain:

People living in Northern Cape province, and coloured and Indian race group members were oversampled and then weighted downward. Other variables (e.g. household size) also used to adjust for any discrepancies between the original and realized sample.

38. Are weights included in the data file?

Yes

No

39. If weights are included in the data file, please describe in detail how the weights were constructed:

40a. If weights are included in the data file, are the weights designed to compensate for disproportionate probability of selection?

Yes

No

If yes, please describe:

People living in Northern Cape province, and coloured and Indian race group members were oversampled and then weighted downward. Other variables (e.g. household size) also used to adjust for any discrepancies between the original and realized sample.

40b. If weights are included in the data file, are the weights designed to match known demographic characteristics of the population?

Yes

No

If yes, please describe:

People living in Northern Cape province, and coloured and Indian race group members were oversampled and then weighted downward. Other variables (e.g. household size) also used to adjust for any discrepancies between the original and realized sample.

40c. If weights are included in the data file, are the weights designed to correct for non-response?
 Yes
 No

If yes, please describe:

People living in Northern Cape province, and coloured and Indian race group members were oversampled and then weighted downward. Other variables (e.g. household size) also used to adjust for any discrepancies between the original and realized sample.

40d. If weights are included in the data file, are the weights designed to correct to the official election results?
 Yes
 No

If yes, please describe:

41. Comparison of Completed Interviews to Population (please provide as percentages of the total):

Characteristic	<u>Population Estimates</u>	<u>Completed Interviews</u>	
		<u>Unweighted Distribution</u>	<u>Weighted Distribution</u>
<u>Age</u>			
18-25	%	22.9%	25.3%
26-40	%	44.7%	38.2%
41-64	%	26.7%	29.0%
65 and over	%	5.3%	7.2%
<u>Education</u>			
None		2.3%	3.9%
Incomplete Primary		5.9%	7.2%
Primary Completed		7.3%	8.6%
Incomplete Secondary		32.5%	32.6%
Secondary Completed		32.6%	31.2%
Post-Secondary Trade/ Vocational		--%	--%
University Incomplete		11.9%	10.6%
University Degree		7.4%	5.8%
<u>Gender</u>			
Male		50.2%	47.2%
Female		49.8%	52.8%

42. Please indicate the source of the population estimates in the prior question. English language sources are especially helpful. Include website links or contact information if applicable.