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**Date: 26.6.2007**

# COMPARATIVE STUDY OF ELECTORAL SYSTEMS
## Module 2:  Sample Design and Data Collection Report
August 23, 2004

**Country: Germany**

**Date of Election: 22.9.2002**

Type of Election (e.g., presidential, parliamentary, legislative): Parliamentary

Organization that conducted the survey field work:
University of Bamberg

**Investigators Responsible for Data Collection:**

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## A.  Study Design

         Post-Election Study
X       Pre-/Post-Election Panel Study

Part of the project "Politische Einstellungen, politische Partizipation und Wählerverhalten im vereinigten Deutschland. Ein Langfristvergleich von Determinanten und Konsequenzen" - Deutsche Nationale Wahlstudie", Post-election drop-off to the face-to-face pre-election survey.

Date Post-Election Interviewing Began: 23. Sept. 2002

Date Post-Election Interviewing Ended: 31. Okt. 2002

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*If  Panel Study:*
Date Pre-Election Interviewing Began:   5. Aug. 2002

Date Pre-Election Interviewing Ended:  31.10.2002

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Mode of (post-election) interview:
         In person, face-to-face
         Telephone
      x Mail or self-completion supplement

Language(s) used in questionnaire(s) (Please provide copies of questionnaires in all languages used, as well as a version translated in English, if applicable, as part of the Election Study Deposit):  German

## B.  Sample Design and Sampling Procedures

1.  Eligibility Requirements
    a) Age:  Minimum___18_____    Maximum__no_____

    b) Citizenship:  Yes____x___    No_____

    c) Other requirements:


2.  Sample Frame:

    a) Were any regions of the country excluded from the sample frame?

       No__x___ Yes_____
       If yes, please explain:




    b) Were institutionalized persons excluded from the sample?

       No_____ Yes__x___
       Please explain:




    c) Were military personnel excluded from the sample?

       No__x___ Yes_____
       Please explain:

d) If interviews were conducted by telephone:

    i. What is the estimated percentage of households without a phone: _____%

    ii. Were unlisted telephone numbers included in the population sampled?

    No_____ Yes_____

    Please explain:

    iii. Were substitution methods used for unproductive sample points?

    No_____ Yes_____

    Please explain:

e) Were other persons excluded from the sample frame?

    No_____ Yes_____

    Please explain:

f) Estimated total (a + b + c + d + e) percentage of the eligible population excluded from the sample frame: _____ %

3. Sample Selection Procedures:

    a) What were the primary sampling units?

Households

    b) Were the primary sampling units randomly selected?

      No_____ Yes__x___

      Please explain:

    c) Were there further stages of selection?

      No_____ Yes_____

      Please explain:

    d) How were individual respondents identified?

Birthday rule

    e) Under what circumstances was a sample line designated non- sample?

Please check all that apply:
- ❑ Non-residential sample point
- X All members of household are ineligible
- X Housing unit is vacant
- ❑ No answer at housing unit after _____ callbacks
- ❑ Other (Please explain):

f) Were non-sample replacement methods used?

No_x____  Yes_____

Please describe:

g).  For surveys conducted by telephone:

    i.  Was the sample a random digit dial (RDD) sample? Yes_____   No_____

    ii. Was the sample a listed sample?  Yes_____  No_____

    iii. Was the sample a dual frame sample?  No_____   Yes_____

       If yes, what % list frame_____ and what % RDD_____

h)  For surveys conducted by mail:

Was the sample a listed sample?
Yes_____   No_____

Please describe:

4. Compliance:

Prior to the study:

    a) Was a letter sent to respondent?

      No_x____ Yes_____
      (If yes, please include a copy of the letter in the Deposit)

    b) Was payment sent to respondent?

      No__x___ Yes_____

      If yes, please describe:

    c) Was a token gift sent to respondent?

      No__x___ Yes_____

      If yes, please describe:

    d) Were any other incentives used?

      No___x__ Yes_____

      If yes, please describe:

5. During the Field Period:

    a) How many contacts were made with the household before declaring it **non-sample?**

    b) How many contacts were made with the household before declaring it **non-interview?**

    c) Maximum number of days over which a household was contacted?

    d) Did interviewers vary the time of day at which they re-contacted the household?  No_____  Yes_____

    Please describe:

    e) Refusal Conversion:

      i. Were efforts made to persuade respondents who were reluctant to be interviewed?
      No_____  Yes_____

      Please describe:

      ii. Were respondents who were reluctant to be interviewed sent a letter persuading them to take part?
      No_____  Yes_____

      If so, please describe (in addition, please include a copy of the letter in the deposit):

      iii. Was payment offered to respondents who were reluctant to take part?
      No_____  Yes_____

      If yes, how much?

iv. Were respondents who were reluctant to take part turned over to a more experienced interviewer?  Yes_____ No_____


v. What was the maximum number of re-contacts used to persuade respondent to be interviewed?


vi. Were any other methods used to persuade respondents reluctant to be interviewed to take part?
No_____ Yes_____

Please describe:


6.  Response Rate:
(Note: if a panel study, please report response rate of the first wave)

| | |
|---|---|
| Total number of sample lines issued: | |
| Number of refusals: | |
| Number never contacted (no-contact): | |
| Other non-response: | |
| Number of lines of non-sample: | |
| Total number of completed interviews: | |
| Response Rate: | |


7.  Panel Attrition:
(Note: This only applies if CSES questionnaire is administered as part of a 2-wave panel study):

| | |
|---|---|
| Total number of respondents in Wave I of the study: | 2000 |
| Number of Wave I respondents re-interviewed in wave containing CSES Module: | 1010 |
| Percent total panel attrition: | 49% |

8.  Panel attrition by age and education (given as percentages; please indicate whether numbers provided are % re-interviewed or % attrition):

| **Age** | | | **Education** | | |
|---|---|---|---|---|---|
| 18-25 | _____% | | None | _____% | |
| 26-40 | _____% | | Incomplete primary | _____% | |
| 41-65 | _____% | | Primary completed | _____% | |
| 65 & over | _____% | | Incomplete secondary | _____% | |
| | | | Secondary completed | _____% | |
| | | | University incomplete | _____% | |
| | | | University degree | _____% | |

9.  Sample Weights

   a)  Are weights included in the data file?
      No_x___  Yes_____

      Please describe how the weights were constructed:

   b)  Are the weights designed to compensate for disproportionate probability of selection at the respondent/household level?
      No__x___  Yes_____

      Please describe:

   c)  Are the weights designed to match known demographic characteristics of the population?
      No___x__  Yes_____

      Please describe:

   d)  Are the data weighted to correct for non-response?
      No____x_  Yes_____

      Please describe:

10.     a) Please describe the interviewers (e.g., age, level of education, years of experience):



       b) Please provide a description of interviewer training:




11. Comparison of Sample to Population

| Characteristic | Population Estimates | Sample Estimates Unweighted | Weighted |
|---|---|---|---|
| Age | | | |
| 18-25 | | | |
| 26-40 | | | |
| 41-66 | | | |
| 65 and over | | | |
| | | | |
| Education | | | |
| None | | | |
| Incomplete Primary | | | |
| Primary Completed | | | |
| Incomplete Secondary | | | |
| Secondary Completed | | | |
| Post-Secondary Trade/ Vocational | | | |
| Incomplete University | | | |
| University Degree | | | |
| | | | |
| Gender | | | |
| Male | | | |
| Female | | | |